

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS Advice Letter Cover Sheet	(Date Filed / Received Stamp by CPUC)
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AL #	Date Mailed to Service List:	Requested Effective Date:	Requested Tier:
885	March 31, 2011	January 27, 2011	<input checked="" type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3
Replacing AL#:	Authorized by:	Compliance Filing?	Rate
N/A	D. 09-07-023	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	\$ N/A
			Impact
			% N/A

<p><u>The public has 20 days from Date Mailed (above) to protest this advice letter. If you chose to protest or respond to the advice letter, send Protest and/or Correspondence within 20 days to:</u></p> <p><u>and if you have email capability, also email to:</u></p> <p><u>Your protest also must be served on the Utility</u></p>	<p>Director Division of Water and Audits 505 Van Ness Ave. San Francisco, CA 94102</p> <p>water_division@cpuc.ca.gov</p> <p>(see attached advice letter for more information and grounds for protest)</p>
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Company Name: CALIFORNIA-AMERICAN WATER COMPANY	CPUC Utility Number: WTA: <u> U-210W </u> WTB _____ WTC _____ WTD _____ SWR _____
Address: 1033 B AVENUE, SUITE 200	
City, State, Zip: CORONADO, CA 92118	

	Contact Name:	Phone No.	Fax No.	Email Address:
Filer	Suzette Halterman	916-568-4255	916-568-4260	suzette.halterman@amwater.com
Alternate	Dave Stephenson	916-568-4222	916-568-4260	dave.stephenson@amwater.com

Description:
 In this space or on the back of this form:

1. Explain justification for requested Tier -- Compliance with D. 09-07-023
2. Describe service affected and how it is affected -- Update to Rule 14.1.1 to include Water Banking and to update the Production Triggers.
3. Describe differences from related Advice Letters (Similar service, replacement filing)

(FOR CPUC USE ONLY)			
WTS Budget/Activity/Type	Process as: <input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3		
/ /	20th Day		30th Day
Project Manager:	Suspended on:		
Analyst:	Extended on:		
Due Date:	Resolution No.:		
Completion Date:	AL/Tariff Effective Date:		



CALIFORNIA
AMERICAN WATER

4701 Beloit Drive
Sacramento, CA 95838
www.amwater.com

P (916)-568-4251
F (916) 568-4260

March 31, 2011

ADVICE LETTER NO. 885

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Applicant) (U210W) hereby submits for filing the following tariff sheets applicable to its Monterey District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
6068-W	Rule No. 14.1.1 WATER CONSERVATION PLAN MONTEREY DISTRICT	5415-W
6069-W	Rule No. 14.1.1 (Continued) WATER CONSERVATION PLAN MONTEREY DISTRICT	5416-W
6070-W	Rule No. 14.1.1 (Continued) WATER CONSERVATION PLAN MONTEREY DISTRICT	5418-W
6071-W	Rule No. 14.1.1 (Continued) WATER CONSERVATION PLAN MONTEREY DISTRICT	5419-W
6072-W	Rule No. 14.1.1 (Continued) WATER CONSERVATION PLAN MONTEREY DISTRICT ATTACHMENTS	5477-W
6073-W	Rule No. 14.1.1 (Continued) WATER CONSERVATION PLAN MONTEREY DISTRICT ATTACHMENTS	5484-W
6074-W	TABLE OF CONTENTS	6066-W

6075-W

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6055-W

Purpose:

This advice letter filing is being made to revise Rule 14.1.1 Water Conservation Plan for the Monterey District as authorized by the California Public Utilities Commission in Decision No. 09-07-023 for California American Water's Monterey District and per the changes outlined by the Monterey Peninsula Water Management District's (MPWMD) Ordinance 147 and Resolution No. 2011-01.

Background:

Submission of these tariffs is made per page 1 of Decision No. 09-07-023 which states:

"This decision adopts a Settlement Agreement (Settlement) among California-American Water Company (Cal-AM), the Division of Ratepayer Advocates (DRA) and the Monterey Peninsula Water Management District (MPWMD). The Settlement proposes adoption of a rationing plan for Cal-Am's Monterey District that, in collaboration with actions of the regional MPWMD, will restrict outdoor watering, allocate each customer a "water ration" based on customer category and number of household residents, authorize implementation of rationing rates, and provide for flow restrictors to be installed on customer meters for repeated water waste or non-essential water use.."

Per Ordering Paragraph 2. c. of D. 09-07-023 which states:

"c. If Monterey Peninsula Water Management District updates the Stage 2 and Stage 3 production triggers in Tables 1 and 2 of Monterey District Rule 14.1.1, California-American Water Company shall file an Advice Letter requesting Commission approval to update Monterey District Rule 14.1.1 to include the new production triggers."

Per Ordering Paragraph 2 d. of D. 09-07-023 which states:

"d. California-American Water Company shall file an advice letter to modify Monterey District Rule 14.1.1 to make Water Banking available to customers by December 31, 2010."

On December 7, 2010, CAW sent a letter to the CPUC addressed to Paul Clannon requesting a 45-day extension of time as MPWMD had not yet updated their Rules. On December 29, 2010, CAW received a letter from Paul Clannon with the CPUC approving an extension to February 14, 2011.

On February 2, 2011, MPWMD adopted their Resolution 2011-01 which updated the production targets within their Rule 162.

On February 11, 2011, CAW learned that MPWMD would not have their Ordinance 147 approved until later in February, so CAW filed a second request for another extension. On February 15, 2011, CAW received a letter from Paul Clannon with the CPUC approving an extension to March 31, 2011.

On February 24, 2011, MPWMD adopted Ordinance No. 147 with an effective date of March 26, 2011. Ordinance 147 approved changes made to MPWMD's Rule 166 and Rule 168 which are both rules that appear in CAW's tariffs as Attachments to Rule 14.1.1 for the Monterey District.

Request:

By this advice letter, in accordance with MPWMD's Resolution 2011-01 and Ordinance 147, and in accordance with CPUC D. 09-07-023, CAW is requesting approval of the attached tariffs which 1.) update the production triggers for the Monterey District and 2.) update the language in the tariffs to allow for Water Banking.

Tier Designation:

These tariffs are submitted pursuant to General Order No. 96-B and this advice letter is designated as a Tier 1 filing.

Effective Date:

In accordance with General Order 96-B¹ and in accordance with CPUC Decision 09-07-023, California American Water requests that the tariffs discussed above, be made effective January 1, 2011.

Service List:

In accordance with Section 4.3 of General Order 96-B, a copy of this advice letter has been served upon all interested and affected parties as shown in Exhibit A.

Protest and Responses:

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

¹ Water Industry Rule 7.3.1

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

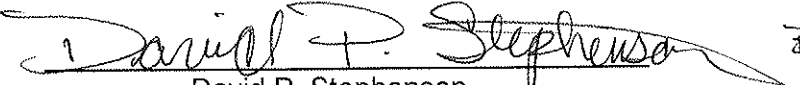
Recipients:	E-Mail:	Mailing Address:
David P. Stephenson <i>Director – Rates & Regulation</i>	dstephen@amwater.com.....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Olivia Para <i>Corporate Counsel</i>	olivia.para@amwater.com.....	333 Hayes Street San Francisco, CA 94102 Fax: (415) 863-0615
Suzette Halterman <i>Financial Analyst I</i>	suzette.halterman@amwater.com.....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact me at (916) 568-4222.

CALIFORNIA AMERICAN WATER

David P. Stephenson

Director - Rates & Regulation

Rule No. 14.1.1 (Continued)
WATER CONSERVATION PLAN
MONTEREY DISTRICT

K. STAGE 2 – WATER CONSERVATION (Continued)

4. The Company shall provide monthly compliance status notices to each Customer required to follow a mandatory Landscape Water Budget.
5. Stage 2 shall be enforced on Company Customers supplied by water from Carmel River System and Seaside Basin, excluding the Laguna Seca Subsystem, when the Company's production from the MPWRS has exceeded the year-to-date at month-end production as shown in Table 1.
6. Stage 2 shall be enforced on the Laguna Seca Subsystem, including Bishop, Hidden Hills, and Ryan Ranch, when the Company's production from the MPWRS has exceeded the year-to-date at month-end production as shown in Table 1 and the Company's production from the Laguna Seca Subarea of the MPWRS has exceeded the year-to-date at month-end production as shown in Table 2.

Table 1
Stage 2 and Stage 3 Production Triggers
MPWRS

Month	2011 Monthly Production	2011 Year-to-Date
	(Includes Carmel, Seaside & Laguna Seca Sub- System)	Production at Month End
	AF	AF
October	1,238	1,238
November	976	2,214
December	898	3,112
January	871	3,983
February	796	4,779
March	915	5,694
April	1,014	6,708
May	1,281	7,989
June	1,367	9,356
July	1,472	10,828
August	1,467	12,295
September	1,336	13,631
TOTAL	13,631	----

(T)

(C)

(C)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 885

D. P. STEPHENSON
NAME

DATE FILED _____

DECISION NO. D. 09-07-023

DIRECTOR - RATES & REGULATION

EFFECTIVE _____

RESOLUTION NO. _____

TITLE

Rule No. 14.1.1 (Continued)
WATER CONSERVATION PLAN
MONTEREY DISTRICT

K. STAGE 2 – WATER CONSERVATION (Continued)

Table 2
Stage 2 and Stage 3 Production Triggers
Laguna Seca Subsystem

Month	2011 Monthly Production	2011 Year-to-Date
	Sub-Systems (Laguna Seca)	Production at Month End
	AF	AF
October	24	24
November	16	40
December	13	53
January	12	65
February	10	75
March	14	89
April	17	106
May	24	130
June	28	158
July	30	188
August	30	218
September	28	246
TOTAL	246	----

(T)

(C)

(C)

7. Stage 2 shall be sunset and water use restrictions shall revert to Stage 1 upon the Company's compliance with the year-to-date at month-end production targets noted for the MPWRS for two consecutive months in the subsequent Water Year.
8. The Company shall notify MPWMD monthly of Customers subject to a Landscape Water Budget that have exceeded their Landscape Water Budget in the previous month.
9. For Customers required to maintain water use within a Landscape Water Budget, the Company shall compile a quarterly report for MPWMD showing individual Customer information and comparing actual consumption against the Landscape Water Budget that shall be due April 15, July 15, October 15, and January 15 for the previous 3 months.

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

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TITLE

Rule No. 14.1.1 (Continued)
WATER CONSERVATION PLAN
MONTEREY DISTRICT

L. STAGE 3 – WATER CONSERVATION (Continued)

2. (Continued)

- c. if Company production from the MPWRS for the Bishop, Hidden Hills and Ryan Ranch Subsystems exceeds on a year-to-date basis the year-to-date targets for a consecutive seven-day period during the months of April, May or June; or
- d. if Company production from the MPWRS for the Bishop, Hidden Hills and Ryan Ranch Subsystems exceeds on a year-to-date basis the date target on any single day during July, August, or September.
- e. if implemented upon resolution of the MPWMD Board when an immediate water use reduction is needed.

- 3. The Company shall implement all Commission-approved emergency rate schedules to respond to Stage 3
- 4. The Company shall notify Customers via direct first class mailing thirty (30) days before Stage 3 may take effect. Customers will be notified that: 1) the provisions of Stage 1 and 2 are still in effect; 2) that emergency rates will go into effect, and what those rates will be.
- 5. The Company shall provide notice of mandatory water conservation with each bill.
- 6. Stage 3 shall be rescinded and revert to Stage 1 upon the Company's compliance with the year-to-date at month-end production targets for the MPWRS for two consecutive months of the subsequent Water Year.

M. STAGES 4 THROUGH 7, WATER CONSERVATION – RATIONING

- 1. The Company shall assist the MPWMD in implementing all rationing measures and public announcements in support of implementation of Stages 4 through 7, including variances (Section T), and installation of Flow Restrictors (Section R), and Water Banking. (T)
- 2. Notice Requirements for Stages 4-7:
 - c. The Company shall provide written notice of mandatory water rationing to every Customer that is served by sources in the MPWRS via first-class mail at least thirty (30) days before the first day rationing use restrictions are imposed except for Stage 4, Non-Compliance with Regulatory Limits through Stage 3 or before upward changes in rationing stages,

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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	TITLE	

Rule No. 14.1.1 (Continued)
WATER CONSERVATION PLAN
MONTEREY DISTRICT

M. STAGES 4 THROUGH 7, WATER CONSERVATION – RATIONING (Continued)

2. (Continued)

- b. At all times during Stages 5 through 7, the Company shall send monthly reminders of water rationing in the water bill along with information showing the Customer's Water Ration and the quantity of the Water Ration consumed by the Customer, and the quantity of water available in the Customer's Water Bank. (T)
- c. The Company shall update Survey information upon request of the Customer during rationing.

3. Rationing Stages shall be implemented according to MPWMD Regulation XV.

4. Ration Calculation:

- a. Water Rations shall be determined by Customer Category. Water Rations will be established in accordance with MPWMD Rule 165. Each Customer within the MPWRS shall be classified in one of the following Customer Categories for the purposes of establishing rations: single-family residential, multi-family residential, commercial, industrial, public authority, golf course, other, non-revenue metered, and reclaimed water.
- b. Water Rations for each Customer shall be determined by the MPWMD General Manager by dividing the reduced available production by the percentage of use for each Customer Category. However, priority Water Rations shall be given to residential water needs to ensure health, safety and welfare. Residential Water Rations shall under no circumstances be less than 35 gallons per person per day. The percentage of use for each Customer Category shall be determined by data from the most recent Water Year when rationing was not in place.
- c. Single-family residential: Each person recorded by the Survey in the single-family residential Customer Category will have an equal portion of the water available to the single-family residential Customer Category.
- d. Multi-family residential: Each person recorded by the Survey in the multi-family residential Customer Category will have an equal portion of the water available, with the following exception: each dwelling unit with access to a separately-metered common laundry facility shall have a reduction in the ration that is equal for all dwelling units with access to a separately-metered common laundry facility.
- e. Each single-family residential and multi-family residential person recorded by Survey will have an equal Water Ration, before the common laundry facility adjustment for multi-family Customers.

(Continued)

(TO BE INSERTED BY UTILITY)

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DIRECTOR - RATES & REGULATION

RESOLUTION NO.

TITLE

Rule No. 14.1.1 (Continued)
WATER CONSERVATION PLAN
MONTEREY DISTRICT

ATTACHMENTS

MPWMDs RULE 165 - STAGE 5 WATER RATIONING (Continued)

D. CONSERVATION REQUIREMENTS CONTINUE

Requirements imposed by Stage 1 shall remain in force during Stage 5.

E. MORATORIUM

Upon declaration of Stage 5, the District shall cease accepting Water Permit applications associated with Intensification of Use for any affected Water Distribution System, private Well, or Water User in the District. All pending Water Permits not issued within 120 days of declaration shall be suspended. This provision shall not suspend the issuance of Water Permits that utilize public or private Water Use Credits or where issuance of a Permit is required by prior agreement or Water Entitlement issued by the District.

F. REDUCTION GOAL

Stage 5 shall achieve water use reductions of 16 - 34 percent of the California American Water and non-California American Water system production limits in each User Category as follows: Residential single-family and multi-family, Commercial, Industrial, Public Authority, Golf Course, Other Use, Non-Revenue Metered Uses, and Reclaimed Water Users.

G. NOTICE

1. California American Water shall provide written notice of mandatory water rationing to every Residential and Non-Residential Water User within the California American Water systems via first-class mail at least thirty (30) days before the first day Stage 5 water use restrictions are imposed. During Stage 5, California American Water shall send monthly reminders of water rationing in the water bill along with information showing the User's Water Ration, the quantity of the Water Ration consumed, and the quantity of water available in the Water User's Water Bank. Finally, California American Water shall update survey information as needed. (T)
2. All other Water Distribution System Operators subject to Stage 5 restrictions shall provide written notice of mandatory water rationing to every Water User within the Water Distribution System via first-class mail at least thirty (30) days before the first day Stage 5 water use restrictions are imposed.

At all times during Stage 5, each Water Distribution System Operator shall send monthly reminders of water rationing along with information showing the Water Ration and the quantity of the Water Ration consumed by the Water User. Finally, the Water Distribution System Operator shall provide each Responsible Party with a survey form at least once each Rationing Year during Stage 5. Water Distribution System Operators shall ensure that notices provided or required by the District shall be distributed to the system Water Users.

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO. 885	D. P. STEPHENSON	DATE FILED _____
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DECISION NO. D. 09-07-023	DIRECTOR - RATES & REGULATION	RESOLUTION NO. _____
	TITLE	

Rule No. 14.1.1 (Continued)
WATER CONSERVATION PLAN
MONTEREY DISTRICT

ATTACHMENTS

MPWMDs RULE 168 - WATER BANKS

(D)

- A. Water Banks shall be available to each Water User during Stages 5 through 7. A Water Bank shall allow each Water User to accrue the unused portion of a monthly Water Ration for use in the current Rationing Year.
- B. Water Banks shall be reset to zero on the first day of each Rationing Year. Ten (10) percent of the remaining Water Bank shall be credited to the following year's Water Bank for three months to allow the establishment of a new bank.
- C. The Water Bank shall be reduced on the first day of the fourth month of the Rationing Year by the amount of banked water carried over. Water Banks shall not carry a negative balance.

(TO BE INSERTED BY UTILITY)

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ISSUED BY

D. P. STEPHENSON

NAME

DIRECTOR - RATES & REGULATION

TITLE

(TO BE INSERTED BY C.P.U.C.)

DATE FILED

EFFECTIVE

RESOLUTION NO.

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The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

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SERVICE AREA MAP:

California-American Water Company	5470-W
Coronado	2235-W
Larkfield	4313-W
Los Angeles	
Baldwin Hills	995 -W, 996-W
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San Marino	935 -W, 936-W, 937-W, 513-W, 1986-W
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No. 4	Contracts	1307-W
No. 5	Special Information Required of Forms	2948-W, 2949-W
No. 6	Establishment & Reestablishment of Credit	285-W
No. 7	Deposits	2812-W
No. 8	Notices	2465-W, 2466-W
No. 9	Rendering and Payment of bills	2813-W, 2814-W
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No. 11	Discontinuance & Restoration of Service	2951-W, 2952-W, 2953-W, 2954-W, 5471-W, 4463-W, 2956-W
No. 12	Information Available to the Public	4028-W
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	Schedule MO-14.1.1	5395-W
	Schedule MO-8	4173-W
No. 14.1-LK	Staged Water Conservation and Rationing Plan - Larkfield District	5303-W, 5304-W, 5305-W, 5306-W, 5307-W, 5308-W, 5309-W, 5310-W, 5311-W, 5312-W, 5313-W, 5314-W
No. 14.1-SD	Schedule 14.1-LK Water Conservation Plan – Southern Division	6020-W, 6021-W, 6022-W, 6023-W, 5221-W, 5222-W, 5223-W, 5224-W, 5225-W, 5226-W, 5227-W, 5228-W, 5229-W, 5230-W, 5231-W, 5232-W, 5233-W
	Schedule 14.1-COR	6024-W, 6025-W, 6026-W, 6027-W, 6028-W, 6029-W
	Schedule 14.1-VL	6030-W, 6031-W, 6032-W, 6033-W, 6034-W, 6035-W
	Schedule 14.1-BH	6036-W, 6037-W, 6038-W, 6039-W, 6040-W, 6041-W

(Continued)

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ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 885

D. P. STEPHENSON

DATE FILED _____

NAME

EFFECTIVE _____

DECISION NO. D. 09-07-023

Director – Rates & Regulations

RESOLUTION NO. _____

TITLE

EXHIBIT A
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 885
MONTEREY DISTRICT SERVICE LIST

BY MAIL:

Christine M. Walwyn -- ALJ
California Public Utilities Commission
505 Van Ness Avenue, Room 5008
San Francisco, CA 94102

Monica L. McCrary
California Public Utilities Commission
Legal Division, Room 5134
505 Van Ness Avenue
San Francisco, CA 94102

Rami Kahlon
California Public Utilities Commission, Water
Division
505 Van Ness Avenue
San Francisco, CA 94102

Danilo Sanchez
California Public Utilities Commission, DRA
505 Van Ness Avenue
San Francisco, CA 94102

Alco Water Service
249 Williams Road
Salinas, CA 93901

Edward W. O'Neill
Davis Wright Tremaine LLP
505 Montgomery Street
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Monterey Peninsula Water Mgmt Dist.
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EXHIBIT A
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include workpapers too