

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS Advice Letter Cover Sheet	(Date Filed / Received Stamp by CPUC)
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AL # 915-A	Date Mailed to Service List: October 20, 2011	Requested Effective Date: Upon Commission Approval	Requested Tier: <input type="checkbox"/> Tier 1 <input checked="" type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3						
Replacing AL#:	Authorized by: D. 11-03-035	Compliance Filing? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:10%;">Rate</td> <td style="width:10%;">\$</td> <td>\$5,497,117.22</td> </tr> <tr> <td>Impact</td> <td>%</td> <td>12.75%</td> </tr> </table>	Rate	\$	\$5,497,117.22	Impact	%	12.75%
Rate	\$	\$5,497,117.22							
Impact	%	12.75%							

<u>The public has 20 days from Date Mailed (above) to protest this advice letter. If you chose to protest or respond to the advice letter, send Protest and/or Correspondence within 20 days to:</u>	Director Division of Water and Audits 505 Van Ness Ave. San Francisco, CA 94102
<u>and if you have email capability, also email to:</u>	water_division@cpuc.ca.gov

<u>Your protest also must be served on the Utility</u>	(see attached advice letter for more information and grounds for protest)
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Company Name: CALIFORNIA AMERICAN WATER	CPUC Utility Number: WTA _____ WTB _____ WTC _____ WTD _____ SWR _____
Address: 1033 B AVENUE, SUITE 200	
City, State, Zip: CORONADO, CA 92118	

	Contact Name:	Phone No.	Fax No.	Email Address:
Filer	Suzette Halterman	(916) 568-4255	(916) 568-4260	suzette.halterman@amwater.com
Alternate	Dave Stephenson	(916) 568-4222	(916) 568-4260	dave.stephenson@amwater.com

Description: *(In this space or on the back of this form)*

- 1. Explain justification for requested Tier** – Per D. 11-03-035 and GO 96-B
- 2. Describe service affected and how it is affected** – All customers in the Monterey District (Monterey Main, Hidden Hills, Ryan Ranch and Bishop)
- 3. Describe differences from related Advice Letters (Similar service, replacement filing)** – N/A

(FOR CPUC USE ONLY)			
WTS Budget/Activity/Type _____/_____/_____	Process as: <input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3		
Project Manager: Analyst: Due Date: Completion Date:	20th Day		30th Day
	Suspended on:		
	Extended on:		
	Resolution No.:		
	AL/Tariff Effective Date:		



4701 Beloit Drive
Sacramento, CA 95838
www.amwater.com

P (916)-568-4251
F (916) 568-4260

October 20, 2011

ADVICE LETTER NO. 915-A

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Applicant) (U210W) hereby submits for filing the following tariff sheets applicable to its Monterey District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
6239-W	Preliminary Statement (Continued)	5317-W
6240-W	Schedule No. MO-1 (continued) Monterey District Tariff Area GENERAL METERED SERVICE	NEW
6241-W	Schedule No. MO-1-LIRA (continued) Monterey District Tariff Area LOW INCOME RATEPAYER ASSISTANCE PROGRAM	NEW
6242-W	TABLE OF CONTENTS Page 2 (continued)	6237-W
6243-W	TABLE OF CONTENTS Page 1 (continued)	6238-W

Request:

This advice letter filing is being made to close the Monterey Peninsula Water Management District (MPWMD) User Fee Memorandum Account effective May 23, 2011 and to move the balance to the expense balancing account. This advice letter filing is also being made to amortize the balance in this account over 12 months with interest to be calculated based on the 90-day commercial paper rate as authorized by the California Public Utilities Commission (the Commission) in Decision No. 11-03-035 for California American Water's Monterey District (Monterey Main, Hidden Hills, Ryan Ranch, and Bishop Service Areas).

Background:

On July 10, 2009, the Commission issued Decision No. 09-07-021 which ordered California American Water to meet and develop a program to fund the projects currently being performed by MPWMD that are the responsibility of California American Water. The Decision also authorized California American Water to file an advice letter to create a memorandum account for interim costs.

On July 30, 2009, California American Water discontinued the collection of the MPWMD User Fee from its ratepayers and filed Advice Letter 785-A request in a memorandum account to track associated costs of the MPWMD User Fee in accordance with the ruling in the Commission's Decision No. 09-07-021. The advice letter was made effective July 20, 2009.

On January 5, 2010, California American Water filed Application (A.) 10-01-012 seeking the Commission's approval of "a program to fund projects currently performed by the District that are properly the Company's responsibility"¹. The application also requested that California American Water would "collect from the Company's Monterey District customers and remit to [MPWMD] the [MPWMD] User Fee at the rate established by the MPWMD's Board of Directors."²

Submission of this advice letter and the attached tariffs is made in compliance with Ordering Paragraph 3 of Decision No. 11-03-035 which states:

"The Monterey Peninsula Water Management District User Fee Memorandum Account shall close 60 days after the effective date of this order. California-American Water Company is authorized to file a Tier 2 advice letter to amortize the amounts recorded in that account over 12 months with interest to be calculated based on the 90-day commercial paper rate."

Rate Impact:

The total amount recorded in the MPWMD User Fee Memorandum Account from September 2009 through May 2011 is \$5,471,449 not including interest. Interest at the 90 day commercial paper rate is an additional \$25,668 for a total of \$5,497,117 to be collected from customers in the Monterey District (Monterey Main, Ryan Ranch, Hidden Hills, and Bishop service areas) over a 12-month period beginning the effective date of this advice letter. California American Water is proposing to collect this amount through a 12.75% revenue based surcharge excluding taxes and the PUC Surcharge.

Request:

California American Water is requesting approval of a 12.75% revenue based surcharge, similar to the previous surcharge collected for MPWMD at 8.325%, to recover the amount (plus interest) recorded in the MPWMD User Fee Memorandum Account authorized by the Commission in Decision No. 11-03-035 for the Monterey District customers (Hidden Hills, Ryan Ranch, Bishop, and Monterey Main) over a 12 month period.

Tier Designation:

These tariffs are submitted pursuant to General Order No. 96-B and this advice letter is designated as a Tier 2 filing.

¹ From Decision 11-10-035, page 4, first paragraph under "Description of the Application" section.

² From Decision 11-10-035, page 4, first paragraph under "Description of the Application" section.

Effective Date:

California American Water requests that the tariffs discussed above, be made effective upon Commission approval of this advice letter.

Service List:

In accordance with Section 4.3 of General Order 96-B, a copy of this advice letter has been served upon all interested and affected parties as shown in Exhibit A.

Protest and Responses:

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material errors or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:	E-Mail:	Mailing Address:
David P. Stephenson..... <i>Director – Rates & Regulation</i>	dave.stephenson@amwater.com...	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Olivia Para..... <i>Corporate Counsel</i>	olivia.para@amwater.com.....	333 Hayes Street San Francisco, CA 94102 Fax: (415) 863-0615
Suzette Halterman..... <i>Financial Analyst I</i>	suzette.halterman@amwater.com .	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4222.

CALIFORNIA-AMERICAN WATER COMPANY


David P. Stephenson
Director - Rates & Regulation

By SA

PRELIMINARY STATEMENT
(Continued)

AF. Seaside Groundwater Basin Balancing Account

- 1. PURPOSE: The purpose of the Seaside Groundwater Basin ("SGB") Balancing Account is to track payments associated with the Water Master establishment and recovery of such payments from customers.
- 2. APPLICABILITY: California American Water's Monterey District.
- 3. ACCOUNTING PROCEDURE: California American Water was granted authority to maintain this balancing account in Appendix A of Decision (D.) 09-07-021.
 - a. A debit entry will be created to transfer payments from the memorandum account to the balancing account.
 - b. A credit entry will be created to equal the amount collected from customers via a separate surcharge.

AG. Aquifer Storage and Recovery ("ASR") Project Memorandum Account

- 4. PURPOSE: The purpose of the Aquifer Storage and Recovery ("ASR") Memorandum Account is to record up to \$14,380,000 in costs for the Aquifer Storage and Recovery project for later ratemaking consideration by the Commission, expected to occur in 2012-20114 Monterey district general rate case.
- 5. APPLICABILITY: California American Water's Monterey District.
- 6. ACCOUNTING PROCEDURE: California American Water was granted authority to maintain this balancing account in Ordering Paragraph 12 of Decision (D.) 09-07-021 and in Appendix A of Decision (D.) 09-07-022.
 - a. A debit entry equal to the amount of costs associated with the Aquifer Storage and Recovery ("ASR") Project.
 - b. A credit entry equal to the amount of surcharge that may later be collected to offset the costs associated with the Aquifer Storage and Recover ("ASR") Project

(D)

(Continued)

(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 915-A

ISSUED BY

D. P. STEPHENSON

NAME

(TO BE INSERTED BY C.P.U.C.)

DATE FILED _____

EFFECTIVE _____

DECISION NO. D. 11-03-035

DIRECTOR – Rates & Regulation

TITLE

RESOLUTION _____

Schedule No. MO-1 (Continued)
Monterey District Tariff Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS (continued):

24. Per Decision 11-03-035, the balance in the Monterey Peninsula Water Management District (MPWMD) User Fee Memorandum Account shall be placed in a balancing account and will be collected through a 12.75% surcharge over a 12 month period beginning the effective date of Advice Letter 915-A. The total amount to be recovered is \$5,495,751. The balance will continue to earn interest at the 90-day commercial paper rate. The surcharge is applicable to customers in the Monterey Main, Ryan Ranch, Hidden Hills and Bishop Service areas.

(N)
|
(N)

(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 915-A

ISSUED BY

D. P. STEPHENSON

(TO BE INSERTED BY C.P.U.C.)

DATE FILED

NAME

EFFECTIVE

DECISION NO. D. 11-03-035

DIRECTOR - Rates & Regulation

RESOLUTION

TITLE

Schedule No. MO-1-LIRA (Continued)
Monterey District Tariff Area
LOW-INCOME RATEPAYER ASSISTANCE PROGRAM (LIRA)

SPECIAL CONDITIONS (continued):

22. Per Decision 11-03-035, the balance in the Monterey Peninsula Water Management District (MPWMD) User Fee Memorandum Account shall be placed in a balancing account and will be collected through a 12.75% surcharge over a 12 month period beginning the effective date of Advice Letter 915-A. The total amount to be recovered is \$5,495,751. The balance will continue to earn interest at the 90-day commercial paper rate. The surcharge is applicable to customers in the Monterey Main, Ryan Ranch, Hidden Hills and Bishop Service areas.

(N)
|
(N)

(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 915-A

ISSUED BY

D. P. STEPHENSON

(TO BE INSERTED BY C.P.U.C.)

DATE FILED

NAME

EFFECTIVE

DECISION NO. D. 11-03-035

DIRECTOR - Rates & Regulation

RESOLUTION

TITLE

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SERVICE AREA MAP (Continued)	
Sacramento	4133-W, 4530-W, 4529-W, 4136-W, 4137-W, 4138-W, 4139-W, 4140-W, 4141-W, 4142-W
Village	780 -W, 2607-W
RATE SCHEDULES:	
Coronado District Tariff Area	
CO-1 General Metered Service	5905-W, 5965-W
CO-1-LIRA General Metered Service	5906-W, 6169-W, 4764-W, 4886-W, 4887-W, 4888-W, 5966-W
CO-4 Private Fire Protection Service	5907-W, 4802-W, 5967-W
CO-4H Private Fire Hydrant Service	5908-W, 4805-W, 5968-W
Larkfield District Tariff Area	
LW-1 General Metered Service	6135 -W, 6136-W, 6231-W, 5704-W
LW-1-LIRA Low Income Ratepayer Assistance Program	6138-W, 6139-W, 6170-W, 4890-W, 4891-W, 4892-W, 4893-W, 6232-W, 5705-W
LW-4 Private Fire Protection Service	6093 -W, 6233-W
Los Angeles District Tariff Area	
LA-1 General Metered Service	5798-W, 6198-W, 6199-W, 6151-W, 6152-W, 6153-W, 6154-W, 6155-W, 6156-W, 6200-W
LA-1-LIRA Low Income Ratepayer Assistance Program	5801-W, 6201-W, 6202-W, 6171-W, 4585-W, 6157-W, 6158-W, 6159-W, 6160-W, 6161-W, 6162-W, 6203-W
LA-3M Measured Irrigation Service	5804-W, 2662-W, 5829-W, 6195-W
LA-4 Private Fire Protection Service	5806-W, 2920-W, 5807-W, 5902-W
LA-DSIC DSIC Rate Tariff	5982-W, 4702-W, 4703-W, 4704-W, 4705-W, 4706-W, 4707-W
Monterey District Tariff Area <i>(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)</i>	
MO-1 General Metered Service	6125-W, 6001-W, 6002-W, 5625-W, 5626-W, 5627-W, 5628-W, 5629-W, 5630-W, 5631-W, 5985-W, 5716-W, 6062-W, 6063-W, 6240-W
MO-1-LIRA Low Income Ratepayer Assistance Program	6126-W, 6004-W, 6172-W, 5637-W, 5638-W, 5639-W, 5640-W, 5986-W, 5717-W, 6064-W, 6065-W, 6241-W
MO-4 Private Fire Protection Service	6007-W, 4852-W, 5643-W, 5644-W, 5720-W, 5615-W
MO-4B Private Fire Protection Service Bishop Service Area	6009-W, 5649-W, 5722-W
MO-4H Private Fire Hydrant Service	6010-W, 4970-W, 5651-W, 5723-W, 5616-W

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO. <u>915-A</u>	<u>D. P. STEPHENSON</u>	DATE FILED _____
	<small>NAME</small>	EFFECTIVE _____
DECISION NO. <u>D. 11-03-035</u>	<u>Director— Rates & Regulation</u>	RESOLUTION NO. _____
	<small>TITLE</small>	

TABLE OF CONTENTS
 (Page 1)

The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
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SERVICE AREA MAP:

California-American Water Company	5470-W
Coronado	2235-W
Larkfield	4313-W
Los Angeles	
Baldwin Hills	995 -W, 996-W
Duarte	1633-W, 941-W, 942-W
San Marino	935 -W, 936-W, 937-W, 513-W, 1986-W
Monterey Peninsula	5040-W, 2740-W, 2741-W, 2742-W, 2744-W, 2745-W, 4315-W, 4293-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962 -W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 4042-W, 4043-W

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO. <u>915-A</u>	<u>D. P. STEPHENSON</u>	DATE FILED _____
	NAME	EFFECTIVE _____
DECISION NO. <u>D. 11-03-035</u>	<u>Director– Rates & Regulation</u>	RESOLUTION NO. _____
	TITLE	

EXHIBIT A
CALIFORNIA-AMERICAN WATER COMPANY
MONTEREY DISTRICT – SERVICE LIST
ADVICE LETTER 915-A

BY MAIL:

Christine M. Walwyn – ALJ
California Public Utilities Commission
505 Van Ness Avenue, Room 5008
San Francisco, CA 94102

Monica L. McCrary
California Public Utilities Commission
Legal Division, Room 5134
505 Van Ness Avenue
San Francisco, CA 94102

Rami Kahlon
California Public Utilities Commission, Water
Division
505 Van Ness Avenue
San Francisco, CA 94102

Danilo Sanchez
California Public Utilities Commission, DRA
505 Van Ness Avenue
San Francisco, CA 94102

Alco Water Service
249 Williams Road
Salinas, CA 93901

Edward W. O'Neill
Davis Wright Tremaine LLP
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San Francisco, CA 94111-6533

Monterey Peninsula Water Mgmt Dist.
Attn: Rick Dickhaut
P.O. Box 85
Monterey, CA 93942

City of Pacific Grove
c/o Community Development Department
Attention: Sarah Hardgrave
300 Forest Ave., 2nd floor
Pacific Grove, CA 93950

Los Angeles Docket Office
California Public Utilities Commission
320 West 4th Street, Suite 500
Los Angeles, CA 90013

Fort Ord Reuse Authority
100 12th Street, Bldg 2880
Marina, CA 93933

City of Sand City
City Hall
California & Sylvan Avenues
Sand City, CA 93955
Attn: City Clerk

Dana McRae, County Counsel
County of Santa Cruz
701 Ocean Street, Room 505
Santa Cruz, CA 95060

Karen Crouch
City Clerk,
Carmel-By-The-Sea
PO Box CC
Carmel-by-the-Sea, CA 93921

Yazdan Emrani, P.E.
Deputy Pub Works Director – Operations
Monterey County DPW
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City Attorney/City Hall
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Pacific Grove, CA 93950

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Monterey Commercial Property Owners
Association
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Pebble Beach, CA 93953

Monterey Regional Water Pollution Control
Agency (MRWPCA)
5 Harris Court Road. Bldg D.
Monterey, CA 93940

Gary E. Hazelton
County Clerk – Recorder
Santa Cruz County
701 Ocean Street, Room 210
Santa Cruz, CA 95060

Ann Camel
City Clerk
City of Salinas
200 Lincoln Avenue
Salinas, CA 93901

California Dept of Health Services
Division of Drinking Water & Environmental
Management
PO Box 997416
Sacramento, CA 95899-7413

Irvin L. Grant
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EXHIBIT A
CALIFORNIA-AMERICAN WATER COMPANY
MONTEREY DISTRICT – SERVICE LIST
ADVICE LETTER 915-A

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City of Seaside
City Attorney
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Seaside, CA 93955

Deborah Mall, City Attorney
City of Monterey
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Monterey, CA 93940

Ms. Lisa Bilir
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue
San Francisco, CA 94102

BY E-MAIL:

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Division of Ratepayer Advocates
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lwa@cpuc.ca.gov

City of Del Rey Oaks
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Del Rey Oaks, CA 93940
Attn: City Clerk
citymanager@delreyoaks.org
kminami@delreyoaks.org

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Carmel Area Wastewater District
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Pebble Beach, CA 93953
Attn: Mark Stilwell
stilwellm@pebblebeach.com

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California Public Utilities Commission
Executive Division, Rm 5303
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uwua@redhabanero.com

EXHIBIT A
CALIFORNIA-AMERICAN WATER COMPANY
MONTEREY DISTRICT – SERVICE LIST
ADVICE LETTER 915-A

Division of Ratepayer Advocates
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Joyce Steingass
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DRA - Water Branch, Rm 4209
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San Francisco, CA 94102
jws@cpuc.ca.gov
*****include workpapers too*****

EXHIBIT A
CALIFORNIA-AMERICAN WATER COMPANY
MONTEREY DISTRICT
SERVICE LIST FROM D. 11-03-035 (A. 10-01-012)
ADVICE LETTER 915-A

By E-MAIL:

Allison Brown
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505 VAN NESS AVENUE, ROOM 4107
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aly@cpuc.ca.gov

DAVID P. STEPHENSON
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SACRAMENTO, CA 95838
dave.stephenson@amwater.com

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PACIFIC GROVE, CA 93950-4221
dave@laredolaw.net

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DE LAY & LAREDO
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heidi@laredolaw.net

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