



## Indiana American Water Corporate Giving Program Guidelines

At Indiana American Water, we're committed to being a good corporate citizen and a good neighbor in the communities where we live and work. Through our community investment programs, and the efforts of Indiana American Water employees, we partner with our communities to make a difference in the lives of others. Whether it's educating communities on conserving one of earth's most precious natural resources, funding environmental stewardship projects, or helping to meet the needs of local organizations, we believe in the power of people to make a difference.

### **Focused Community Investment**

Indiana American Water invests its resources in innovative programs that serve diverse populations and align with our core business of water and wastewater services. These include the following core focus areas:

- **Water and the Environment**  
Innovative, community-based environmental projects that improve, restore or protect our watersheds and our communities' surface and groundwater.
- **Water and Healthy Living**  
Efforts that contribute to healthy communities by promoting access to safe water and sanitation, educating customers on the health benefits of water and encouraging good hygiene practices.
- **Environmental Education**  
Educational programs which provide information about water conservation, water and wastewater treatment, the water cycle, watershed protection and the environment.
- **Community Sustainability**  
Projects that meet a definitive, sustainable community need, preferably aligned with Indiana American Water's core business, and which enrich the lives of the residents who live and work in the communities we serve.

## **Who Does Indiana American Water Fund Through This Program?**

Indiana American Water is limited in the number of grants we can award. Therefore, we generally support only charitable organizations that hold current tax-exempt status under Section 501(c)(3) of the Internal Revenue Code or to government agencies, including public schools. Indiana American Water's corporate giving program does not make contributions to or for:

- Individuals
- Tickets for raffles, contests or other activities with prizes
- Religious organizations (unless the request is for a program offered to the public on a non-discriminatory basis and without regard to the recipient's religious affiliation)
- Endowments
- Debt-reduction campaigns
- Political or partisan organizations or events

## **Successful Grantees**

The most successful grant applications:

- Fund organizations or projects in Indiana American Water's service areas
- Link a program to Indiana American Water's grant focus areas:
  - Water and the Environment
  - Water and Healthy Living
  - Environmental Education
  - Community Sustainability
- Fund specific programs as opposed to general operating expenses
- Address a demonstrated community need
- Provide an opportunity for Indiana American Water employees to volunteer in the community
- Provide the grantee and Indiana American Water with recognition in the community

## **Underserved Communities**

Indiana American Water's goal is that a portion of the company's total grant-making dollars are invested in underserved communities. In general, these include:

- Low income communities
- Senior citizens
- Persons with disabilities

## **Indiana American Water's Community Investment Process**

Indiana American Water invests in the communities where we live and work in several ways. The types of support the company offers include financial grants, in-kind donations of property or materials, and volunteer labor including temporary help and board member service. Although there are exceptions, the company typically invests in the following areas which align with our core business:

- Water and the Environment
- Water and Healthy Living
- Environmental Education
- Community Sustainability

### **Who Decides?**

Grant proposals for projects within a particular city or county are submitted or referred to local Indiana American Water service district offices for consideration. Employee committees make state and local funding decisions.

### **How Much?**

The majority of Indiana American Water's grants are under \$1,000. Although there are exceptions, Indiana American Water usually does not consider multi-year funding requests. Unless previously agreed upon, organizations must submit a new request for funding annually.

Under certain circumstances, Indiana American Water may contribute surplus property such as furniture, office supplies or other miscellaneous items, as well as volunteer labor using company assets. The company may also donate temporary use of real estate, such as meeting rooms. Indiana American Water is prohibited from donating or reducing the cost of water and/or wastewater services by law.

### **Timing of Grant Request Processing**

Indiana American Water's contributions program is funded through the calendar year. Although the company reserves the right to consider requests at any time, grant proposals are typically reviewed the last week of every month. Requests must be received by the 10<sup>th</sup> of the month for consideration during that month's review. Our goal is to respond to proposals within one month.

Indiana American Water requires a follow-up report from grantee organizations for grant amounts of \$5,000 or above within six months of receipt of any donation (see attached report template). Funding of subsequent requests is not automatic and reports are an important factor in future funding decisions. Organizations that do not submit a final report will not be considered for future grant funding.

### **Grant Non-Discrimination Practices and Compliance Issues**

#### **Non-Discrimination Practices**

Indiana American Water will not fund organizations that, in their by-laws, policies or practices, discriminate on any basis prohibited by applicable law.

## Charitable Purposes

Grants may be used only for charitable purposes, and must not be used to promote or oppose any candidate or ballot measure, to advocate any legislative or administrative action, or to personally benefit or compensate any elected official.

## Legal Compliance

Applicants must be, and remain, in compliance with all federal, state, and local laws, rules, and regulations.

## Grant Program Requirements for Grantees

1. Organizations should determine whether their organization and the funding they seek meet the requirements described in “Who Do We Fund” (above).
2. Only organizations that have received a 501(c)3 designation or who are government agencies (including public schools) are eligible for Indiana American Water grant funding.
3. All applicants must submit requests using the Indiana American Water grant application form. Indiana American Water will not accept or process grant proposals in any other format. Organizations must submit a completed application only. Incomplete applications will not be considered. Applicants should keep a copy of their completed application for future reference.

For questions about completing the application, or for other questions about the company’s corporate giving program, please contact your local external affairs representative (see below).

## Indiana American Water Service Area



Indiana  
Southern Indiana

Joe Loughmiller  
MaryBeth Johnson

[Joe.Loughmiller@amwater.com](mailto:Joe.Loughmiller@amwater.com)  
[MaryBeth.Johnson@amwater.com](mailto:MaryBeth.Johnson@amwater.com)

---

Indiana American Water and its sister companies have refined their corporate giving practices to align with and support the companies' core businesses (water and wastewater) and maximize program effectiveness. Should you have further questions, please contact your local external affairs liaison or email [AW.CorporateResponsibility@amwater.com](mailto:AW.CorporateResponsibility@amwater.com).