

Rule No. 14.1-LK

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

A. TERRITORY

(N)

This rule is applicable within the Larkfield District of California American Water Company. All others served by California American Water Company are excluded from this particular rule, but are included in separate and distinct Water Conservation and Rationing Plans.

B. GENERAL INFORMATION

1. If water supplies are projected to be insufficient to meet normal customer demand, and are beyond the control of the utility, the utility may elect to implement voluntary conservation using the portion of this plan set forth in Section E of this Rule, after notifying the Director of the Commission's Division of Water and Audits of its intent, via a letter in both hard-copy and e-mailed formats.
2. Prior to declaration of mandatory rationing, a utility may request authorization of a Schedule 14.1 – Staged Mandatory Water Conservation and Rationing tariff, via a Tier 2 advice letter.
3. If, in the opinion of the utility, more stringent water measures are required, the utility shall request Commission authorization to implement the staged mandatory conservation and rationing measures set forth in Sections F through I.
4. The utility shall file a Tier 1 advice letter to request activation of a particular stage of Schedule 14.1 – Staged Mandatory Water Conservation and Rationing tariff.
 - a. If a Declaration of Mandatory Rationing is made by utility or governing agency, or
 - b. If the utility is unable to address voluntary conservation levels set by itself, supplier, or governing agency, or
 - c. If the utility chooses to subsequently activate a different stage.
5. When Schedule 14.1-LK is in effect and the utility determines that water supplies are again sufficient to meet normal demands, and mandatory conservation and rationing measures are no longer necessary, the utility shall seek Commission approval via a Tier 1 advice letter to deactivate the particular stage of mandatory rationing that has been authorized.
6. In the event of a water supply shortage requiring a voluntary or mandatory program, the utility shall make available to its customers water conservation kits as required by its version of Rule 20. The utility shall notify all customers of the availability of conservation kits via a bill message or direct mailers

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(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

C. GENERAL INFORMATION RELATED TO NORTHERN DIVISION OF CALIFORNIA AMERICAN WATER COMPANY

- 1. A reliable minimum supply of potable water is essential to the public health, safety and welfare of the people and economy of the northern California region.
2. Northern California is a semi-arid region and is largely dependent upon imported water supplies. A growing population, climate change, environmental concerns, and other factors in other parts of the State and western United States, make the region highly susceptible to water supply reliability issues.
3. Careful water management that includes active water conservation measures not only in times of drought, but at all times, is essential to ensure a reliable minimum supply of water to meet current and future water supply needs.
4. Article X, Section 2 of the California Constitution declares that the general welfare requires that water resources be put to beneficial use, waste or unreasonable use or unreasonable method of use of water be prevented, and conservation of water be fully exercised with a view to the reasonable and beneficial use thereof.
5. California Water Code section 375 authorizes water suppliers to adopt and enforce a comprehensive water conservation program to reduce water consumption and conserve supplies.
6. The adoption and enforcement of a water conservation and rationing program is necessary to manage California American Water Company's Larkfield District's potable water supply in the short and long-term and to avoid or minimize the effects of drought and shortage within California American Water Company's Larkfield District's service areas. Such a program is essential to ensure a reliable and sustainable minimum supply of water for the public health, safety and welfare.
7. The purpose of this Rule is to establish a water conservation and rationing program that will reduce water consumption within California American Water Company's Larkfield District's service area through conservation, enable effective water supply planning, assure reasonable and beneficial use of water, prevent waste of water, and maximize the efficient use of water within California American Water Company's Larkfield District's service area to avoid and minimize the effect and hardship of water shortage to the greatest extent possible.
8. This Rule establishes permanent water conservation standards intended to alter behavior related to water use efficiency at all times and further establishes four (4) levels of water supply shortage response actions to be implemented during times of declared water shortage or declared water shortage emergency, with increasing restrictions on water use in response to worsening drought or emergency conditions and decreasing supplies.

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

D. DEFINITIONS

(N)

1. "Authorizing Agency" means any agency who supplies to, controls or allocates the water supply to California American Water Company or any governmental body which authorizes the Company to produce and sell water in the service area, or California American Water Company itself if acting in accordance with Section H of this Rule.
2. "Billing unit" means the unit of water used to apply water rates for purposes of calculating water charges for a person's water usage and equals 100 cubic feet.
3. "Conservation" means normal actions necessary to reduce the purchase, production or consumption of potable water, including applicable Best Management Practices, while maintaining customary levels of service and public health and safety.
4. "Historical base period" means the period of time or date established by an Authorizing Agency against which declared water use reductions are to be measured.
5. "Landscape irrigation system" means an irrigation system with pipes, hoses, spray heads, or sprinkling devices that are operated by hand or through an automated system.
6. "Ornamental landscape areas" include commercial landscapes or turfed area that are not regularly used for purposes other than landscaping.
7. "Person" means any natural person or persons, corporation, public or private entity, governmental agency or institution, or any other user of water provided by the California American Water Company.
8. "Potable water" means water which is suitable for drinking.
9. "Rationing" means extraordinary actions required to reduce the purchase, production or consumption of potable water to meet corresponding reductions in supply, or loss of storage or transmission facilities to the highest service levels allowed, but lower than customarily expected, while maintaining system integrity and, to the extent possible, customer convenience and public health and safety.
10. "Recreational landscape areas" means a lawn, landscape, or other vegetated area, or combination thereof, used for recreational purposes including athletics, sports, sunbathing, picnicking or other activities.
11. "Recycled water" means the reclamation and reuse of non-potable water for beneficial use as defined in Title 22 of the California Code of Regulations.
12. "Single pass cooling systems" means equipment where water is circulated only once to cool equipment before being disposed.

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

E. STAGE 1 VOLUNTARY CONSERVATION - NON-ESSENTIAL OR UNAUTHORIZED WATER USE (N)

The following list of non-essential or unauthorized water uses are the minimum water conservation requirements. Violations of this section will be considered waste and an unreasonable use of water.

1. Any lawn, landscape, or other vegetated irrigation occurring between the hours of 10:00 am and 6:00 pm on any day, except by use of a hand-held bucket or similar container, a hand-held hose equipped with a positive self-closing water shut-off nozzle or device, or for very short periods of time for the express purpose of adjusting or repairing an irrigation system.
2. Watering or irrigating of any lawn, landscape or other vegetated area in a manner that causes or allows excessive water flow or runoff onto an adjoining sidewalk, driveway, street, alley, gutter or ditch.
3. Washing down hard or paved surfaces, including but not limited to sidewalks, walkways, driveways, parking areas, tennis courts, patios or alleys except when necessary to alleviate safety or sanitary hazards, and then only by use of a hand-held bucket or similar container, a hand-held hose equipped with a positive self-closing water shut-off device, a low-volume, high-pressure cleaning machine equipped to recycle any water used, or a low-volume high-pressure water broom.
4. Excessive use, loss or escape of water through breaks, leaks or other malfunctions in the water user's plumbing or distribution system for any period of time after such escape of water should have reasonably been discovered and corrected and in no event more than seven (7) days of receiving notice from California American Water Company.
5. Operating a water fountain or other decorative water feature that does not use re-circulated water.
6. Using water to wash or clean a vehicle, including but not limited to any automobile, truck, van, bus, motorcycle, boat or trailer, whether motorized or not except by use of a hand-held bucket or similar container or a hand-held hose equipped with a positive self-closing water shut-off nozzle or device. This subsection does not apply to any commercial car washing facility.
8. Service of water by any restaurant except upon the request of a patron.
9. Use of non-water conserving dish wash spray valves at restaurants.
10. Use of potable water for watering streets with trucks, except for initial wash-down for construction purposes (if street sweeping is not feasible), or to protect the health and safety of the public;
11. Use of potable water for construction purposes, such as consolidation of backfill, dust control, or other uses unless no other source of water or other method can be used and authorize by the utility;
12. Use of potable water for construction purposes unless no other source of water or other method can be used;

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

E. STAGE 1 VOLUNTARY CONSERVATION - NON-ESSENTIAL OR UNAUTHORIZED WATER USE (N)

The following list of non-essential or unauthorized water uses are the minimum water conservation requirements. Violations of this section will be considered waste and an unreasonable use of water. (continued)

- 13. Use of potable water for street cleaning unless authorized by utility;
14. Use of potable water to flush hydrants, except where required for public health or safety and authorized by utility.

F. STAGED MANDATORY CONSERVATION AND/OR RATIONING OF WATER USAGE

1. Prior to declaration of mandatory rationing, the utility may request authorization of a Schedule 14.1-LK - Staged Mandatory Water Conservation and Rationing tariff, via a Tier 2 advice letter, with full justification. The utility may not institute Schedule 14.1-LK until it has been authorized to do so by the Commission.

- a. A staged Schedule 14.1-LK that has been authorized by the Commission shall remain dormant until triggered by specific conditions detailed in the Schedule 14.1-LK tariff and the utility has requested and received authorization for activating a mandatory stage by the Commission.
b. Notice of the Tier 2 advice letter and associated public participation hearing shall be provided to customers under General Order (GO) 96-B rules.
c. Utility shall comply with all requirements of Sections 350-358 of the California Water Code.
d. The Tier 2 advice letter requesting institution of a Schedule 14.1-LK shall include but not be limited to:

i. Proposed Schedule 14.1-LK tariff, which shall include but not be limited to:

- 1.Applicability,
2.Territory applicable to,
3.A detailed description of each Stage of Rationing,
4.A detailed description of the Trigger that Activates each Stage of Rationing,
5.A detailed description of each water use restriction for each stage of conservation and/or rationing.
6.Water use violation levels, written warning levels, associated fines, and exception procedures,

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

F. STAGED MANDATORY CONSERVATION AND/OR RATIONING OF WATER USAGE (continued)

(N)

1. Prior to declaration of mandatory rationing, a utility may request authorization of a Schedule 14.1-LK – Staged Mandatory Water Conservation and Rationing tariff, via a Tier 2 advice letter, with full justification. The utility may not institute Schedule 14.1-LK until it has been authorized to do so by the Commission. (continued)

d. The Tier 2 advice letter requesting institution of a Schedule 14.1-LK shall include but not be limited to: (continued)

i. Proposed Schedule 14.1-LK tariff, which shall include but not be limited to: (continued)

7. Conditions for installation of a flow restrictor,

8. Charges for removal of flow restrictors, and

9. Special Conditions

ii. Justification for, and documentation and calculations in support of plan, including but not limited to each item in F.1.d.i.

2. The utility shall file a Tier 1 advice letter to request activation of a particular stage of Schedule 14.1-LK – Staged Mandatory Water Conservation and Rationing tariff.

a. If a Declaration of Mandatory Conservation and/or Rationing is made by utility or governing agency,

b. If the utility is unable to address voluntary conservation levels set by itself or governing agency, or

c. If the utility chooses to subsequently activate a different stage.

d. The Tier 1 advice letter requesting activation of a Schedule 14.1-LK shall include but not be limited to:

i. Justification for activating this particular stage of mandatory conservation and/or rationing, as well as period during which this particular stage of mandatory conservation and/or rationing measures will be in effect.

ii. When the utility requests activation of a particular Stage, it shall notify its customers as detailed in Section L.

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

G. STAGE 2 MANDATORY CONSERVATION

- 1. A Stage 2 Water Conservation condition exists when an Authorizing Agency determines that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions.
2. Additional Water Conservation Measures: In addition to the non-essential or unauthorized uses of water identified in Section E, the following water conservation requirements apply during Stage 2 Water Conservation:
a. Limits on Watering Days: Watering or irrigating of lawn, landscape or other vegetated area with potable water is limited to three days per week on a schedule established and posted by the California American Water Company.
b. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the water user's plumbing or distribution system must be repaired within seventy-two (72) hours of notification by California American Water Company unless other arrangements are made with California American Water Company.
c. Prohibition on watering ornamental landscape without a water budget approved by California American Water Company or other authorized agency.
d. Limits on Filling Residential Swimming Pools & Spas: Re-filling and initial filling of residential swimming pools or outdoor spas with potable water is prohibited, except to maintain required operating levels of existing pools and spas.

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STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

H. STAGE 3 MANDATORY RATIONING

- 1. A Stage 3 Mandatory Rationing period exists when an Authorizing Agency determines that due to drought or other water supply conditions, a water supply shortage or threatened shortage exists and a consumer demand reduction is necessary to make more efficient use of water and appropriately respond to existing water conditions.
2. Additional Conservation Measures: In addition to the prohibited uses of water identified in Section E and G, the following additional water conservation requirements apply during a declared Stage 3 Mandatory Rationing period:
a. Watering Days: Watering or irrigating of lawn, landscape or other vegetated area with potable water is limited to two days per week on a schedule established and posted by California American Water Company.
b. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the water user's plumbing or distribution system must be repaired within forty-eight (48) hours of notification by the California American Water Company unless other arrangements are made with the California American Water Company.
c. Limits on Filling Ornamental Lakes or Ponds: Filling or re-filling ornamental lakes or ponds is prohibited, except to the extent needed to sustain aquatic life, provided that such animals are of significant value and have been actively managed within the water feature prior to declaration of a supply shortage level under this Rule.
d. No New Potable Water Service: Upon declaration of a Stage 3 Mandatory Rationing condition, no new potable water service will be provided, no new temporary meters or permanent meters will be provided, and no statements of immediate ability to serve or provide potable water service (such as, will-serve letters, certificates, or letters of availability) will be issued, except under the following circumstances:
i. A valid, unexpired building permit has been issued for the project; or
ii. The project is necessary to protect the public health, safety, and welfare; or
(continued)

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(TO BE INSERTED BY UTILITY)

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

H. STAGE 3 MANDATORY RATIONING

2. Additional Conservation Measures: In addition to the prohibited uses of water identified in Section E and G, the following additional water conservation requirements apply during a declared Stage 3 Mandatory Rationing period: (continued)

d. No New Potable Water Service: Upon declaration of a Stage 4 Mandatory Rationing condition, no new potable water service will be provided, no new temporary meters or permanent meters will be provided, and no statements of immediate ability to serve or provide potable water service (such as, will-serve letters, certificates, or letters of availability) will be issued, except under the following circumstances: (continued)

iii. The applicant provides substantial evidence of an enforceable commitment that water demands for the project will be offset prior to the provision of a new water meter(s) to the satisfaction of California American Water Company.

This provision does not preclude the resetting or turn-on of meters to provide continuation of water service or the restoration of service that has been interrupted for a period of one year or less.

I. STAGE 4 MANDATORY RATIONING

1. A Stage 4 Mandatory Rationing condition is also referred to as an "Emergency" condition. A Stage 4 condition exists when an Authorizing Agency declares a water shortage emergency or that water usage should be reduced by greater than 30% of an historical base period, or that the measures in Section E, G, or H are ineffective in complying with an Authorizing Agency reduction.

2. Additional Rationing Measures: In addition to the prohibited uses of water identified in Section E, G, and H, the following water conservation requirements apply during a declared Stage 4 Mandatory Rationing period:

a. No Watering or Irrigating: Watering or irrigating of lawn, landscape or other vegetated area with potable water is prohibited. This restriction does not apply to the following categories of use, unless California American Water Company has determined that recycled water is available and may be applied to the use:

i. Maintenance of vegetation, including trees and shrubs, that are watered using a hand-held bucket or similar container, hand-held hose equipped with a positive self-closing water shut-off nozzle or device;

ii. Maintenance of existing landscape necessary for fire protection;

iii. Maintenance of existing landscape for soil erosion control;

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

I. STAGE 4 MANDATORY RATIONING

2. Additional Conservation Measures: In addition to the prohibited uses of water identified in Section E, G, and H, the following water conservation requirements apply during a declared Stage 4 Mandatory Rationing period: (continued)

a. No Watering or Irrigating: Watering or irrigating of lawn, landscape or other vegetated area with potable water is prohibited. This restriction does not apply to the following categories of use, unless California American Water Company has determined that recycled water is available and may be applied to the use: (continued)

iv. Maintenance of plant materials identified to be rare or essential to the well-being of protected species;

v. Maintenance of landscape within active public parks and playing fields, day care centers, golf course greens, and school grounds, provided that such irrigation does not exceed two (2) days per week according to the schedule established in Section H and time restrictions in Section E;

vi. Actively irrigated environmental mitigation projects.

b. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the water user's plumbing or distribution system must be repaired within twenty four (24) hours of notification by California American Water Company unless other arrangements are made with California American Water Company.

c. No New Annexations: Upon the declaration of a Stage 4 Mandatory Rationing condition, California American Water Company will suspend consideration of annexations to its service area. This subsection does not apply to boundary corrections and annexations that will not result in any increased use of water.

d. Water Budget: The utility may install flow limiting devices on individual accounts including domestic and irrigation accounts, that reduce consumption proportionately over historic levels consistent with the mandatory reduction required

J. ENFORCEMENT OF STAGED MANDATORY CONSERVATION AND RATIONING

1. The water use restrictions of the conservation program, in Section A of this rule, become mandatory when the authorized Schedule 14.1-LK-Staged Mandatory Rationing Program is triggered, the utility files a Tier 1 advice letter requesting activation of a particular stage, and authorization is received from the Commission.

a. In the event a customer is observed to be using water for any nonessential or unauthorized use as defined in Section E, G, H or I of this rule, the utility may charge a water use violation fine in accordance with Schedule No. 14.1-LK.

2. The utility may, after two written warnings, such as doorhangers and letters, , install a flow-restricting device on the service line of any customer observed by utility personnel to be using water for any non-essential or unauthorized use as defined in Section E, G, H or I above.

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STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

J. ENFORCEMENT OF STAGED MANDATORY CONSERVATION AND RATIONING

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- 3. A flow restrictor shall not restrict water delivery by greater than 50% of normal flow...
4. After the removal of the restricting device, if any non-essential or unauthorized use of water shall continue...
5. Any tampering with flow restricting device by customer may result in the discontinuation of water use...
6. If, despite installation of such flow-restricting device pursuant to the provisions of the previous enforcement conditions...
7. All monies collected by the utility through water use violation fines shall not be accounted for as income...
8. The charge for removal of a flow-restricting device shall be in accordance with Schedule No. 14.1-LK.

K. APPEAL PROCEDURE

- 1. Any customer who seeks a variance from any of the provisions of this water conservation and rationing plan shall notify the utility in writing...
2. Any customer not satisfied with the utility's response may file an appeal with the staff of the Commission...
3. If the customer disagrees with such disposition, the customer shall have the right to file a formal complaint with the Commission.

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Rule No. 14.1-LK (continued)

STAGED WATER CONSERVATION AND RATIONING PLAN
NORTHERN DIVISION

L. PUBLICITY

1. As stated under Section B, when a utility requests authorization of Schedule 14.1-LK – Staged Mandatory Water Conservation and Rationing tariff, via a Tier 2 advice letter, it shall provide notice of the Tier 2 advice letter and associated public meeting provided to customers, under General Order (GO) 96-B rules, and shall comply with all requirements of Sections 350-358 of the California Water Code (CWC), including but not limited to the following:
 - a. In order to be in compliance with both the GO and CWC, the utility shall provide notice via both newspaper and bill message/direct mailing.
 - b. Utility shall file one notice for each advice letter filed, that includes both notice of the filing of the Tier 2 advice letter as well as the details of the public meeting (date, time, place, etc).
 - c. The public meeting shall be held after the utility files the Tier 2 advice letter, and before the Commission authorizes implementation of the tariff.
 - d. Utility shall consult with Division of Water and Audits staff prior to filing advice letter, in order to determine details of public meeting.
2. In the event that Schedule 14.1-LK - Staged Mandatory Rationing Plan is triggered, and the utility requests activation through the filing of a Tier 1 advice letter, the utility shall notify its customers and provide each customer with a copy of Schedule 14.1-LK by means of bill message or direct mailing. Notification shall take place prior to imposing any fines associated with this plan.
3. During the period that a stage of Schedule 14.1-LK is activated, the utility shall provide customers with updates in at least every other bill, regarding its water supply status and the results of customers' conservation efforts.

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