



4701 Beloit Drive
Sacramento, CA 95838
www.amwater.com

P (916) 568-4251
F (916) 568-4260

March 31, 2017

ADVICE LETTER NO. 1159

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for filing the following tariff sheets applicable to its Los Angeles County (Los Angeles) District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. LA-1 (continued) Los Angeles District Tariff Area GENERAL METERED SERVICE	8311-W
XXXX-W	Schedule No. LA-1 (continued) Los Angeles District Tariff Area GENERAL METERED SERVICE	8347-W
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PURPOSE

The purpose of this advice letter filing is to request recovery of the 2016 Water Revenue Adjustment Mechanism ("WRAM") and Modified Cost Balancing Account ("MCBA"). This request is in compliance with authorizations of the California Public Utilities Commission ("CPUC") as detailed in D.08-06-002, D.12-04-048, and D.13-07-041.

BACKGROUND

On June 12, 2008 the Commission issued Ordering Paragraph 1 of D.08-06-002 which adopted a settlement agreement between Cal-Am, the Division of Ratepayer Advocates (DRA) and the City of Duarte to establish a Pilot Program for a conservation rate structure in the Baldwin Hills, Duarte and San Marino service areas of the Los Angeles County District;

- 1. The March 25, 2008 settlement attached as Appendix A is adopted.*

As part of the amended settlement, Cal-Am was to establish a WRAM and MCBA as outlined below from page 6 of the agreement dated March 24, 2008;

Decoupling for California-American Water will be accomplished through both of the following mechanisms:

- 1. A Water Revenue Adjustment Mechanism (WRAM) for each service area in the Los Angeles District.*
- 2. A Modified Cost Balancing Account (MCBA) for each service area. MCBA's will replace existing cost balancing accounts for purchased power, and purchased water (pump taxes are tracked in the purchased water balancing account for each service area).*
- 3. Together, these decoupling mechanisms will ensure recovery of the adopted fixed costs recovered through the quantity charge, and the actual variable costs for purchased power, purchased water and pump taxes. The fixed costs not included in these accounts will be recovered through the service charge, which is a monthly charge that customers pay regardless of consumption.*
- 4. In accordance with established Commission practice, the WRAM and MCBA accounts will accrue interest at the 90-day commercial paper rate.*

The WRAM will track the difference between the total quantity charge revenues authorized by the Commission ("Total Adopted Quantity Revenues"), and the total revenues actually recovered through the quantity charge based on actual sales ("Total Actual Quantity Revenues"), excluding:

- 1. Revenue from Private Fire Protection Service and;*
- 2. Revenue from the "Other" class of general metered customers.*

Cal-Am implemented the Pilot Program on November 1, 2008 via Advice Letter 710.

Historically, this report was submitted by March 31st each year per the settlement agreement adopted in D.08-06-002;

- 1. By March 31st of each year, California-American Water will provide the Water Division (with a copy to DRA) with a written report on the status of the WRAMs and MCBA's as described herein.*

Provided the WRAM/MCBA balance exceeded a 2.5% threshold, California American Water would file an advice letter seeking to amortize the balance;

- 1. The WRAM and MCBA accounts for each service area will always be considered together for purposes of determining the need for additional revenue recovery from, or for refund to, ratepayers in that service area, and will be netted prior to any refund or recovery.*
- 2. California-American Water will track revenues in the WRAM account in each service area by customer class for analysis purposes, but implementation of a surcharge or surcredit will be done considering the net balance of the WRAM and MCBA accounts for all customer classes in each service area.*
- 3. If the annual report shows that the combined over- or under-collection for the WRAM or the MCBA in any service area exceeds 2.5% of the service area's total recorded revenue requirement for the prior calendar year, California-*

American Water will file an advice letter within 30 days that amortizes the balance in all of the WRAM and MCBA accounts in the service area.

- 4. If the 2.5% threshold is not met, these balancing accounts will be amortized in the next GRC.*

On July 25, 2013, modifications to the reporting process were agreed to in D.13-07-041 as part of a settlement in Cal-Am's 2010 General Rate Case ("GRC") proceeding;

The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. Cal Am will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Additional criteria on the WRAM amortization process were outlined in D.12-04-048 as follows;

Conclusions of Law

- 7. It is reasonable to require that net WRAM/MCBA over-collections be amortized through a surcredit on a customer's service charges and that all under-collections be amortized through a surcharge on the volumetric rate.*
- 8. It is reasonable to change the deadline for applicants to submit their annual WRAM/MCBA report from March 31st to the previous November 30th, and to include nine months of recorded data through September 30th in the report.*

Ordering Paragraphs

- 1. We adopt the amortization schedule set forth in Appendix A with a cap on total net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) surcharges of 10% of the last authorized revenue requirement...WRAM balances incurred prior to the first test year referenced above continue to be amortized under the adopted amortization schedule without being subject to the surcharge cap.*

California American Water submitted its annual report on November 30, 2016. The report contained recorded balances for January 1 through October 31, 2016 and forecasted balances for the period November 1 through December 31, 2016.

REQUEST

The balances for the WRAM and MCBA are summarized in the table below.

Recovery of WRAM/MCBA Balances			
Description	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Balance
Baldwin Hills			
Prior Year Balance	(\$78,144.05)	(\$637)	(\$78,781)
Current Year Balance	(\$548,242)	(\$1,780)	(\$550,022)
Total Balance Requested	(\$626,386)	(\$2,417)	(\$628,803)
2016 Adopted Revenue			\$6,116,053
Total Balance % of Revenue			10.3%
Duarte			
Prior Year Balance	(\$823,021)	(\$5,376)	(\$828,397)
Current Year Balance	(\$1,182,626)	(\$3,660)	(\$1,186,286)
Total Balance Requested	(\$2,005,648)	(\$9,035)	(\$2,014,683)
2016 Adopted Revenue			\$7,396,119
Total Balance % of Revenue			27.2%
San Marino			
Prior Year Balance	\$242,774.49	\$2,558	\$245,332
Current Year Balance	(\$1,256,590)	(\$3,630)	(\$1,260,220)
Total Balance Requested	(\$1,013,815.57)	(\$1,072)	(\$1,014,888)
2016 Adopted Revenue			\$15,831,170
Total Balance % of Revenue			6.4%

Based on the above balances and the adopted amortization schedule per Appendix A of D.12-04-048, California American Water requests that a volumetric surcharge of \$0.0405 per 100 gallons over 18 months for Baldwin Hills, \$0.0435 per 100 gallons over 33 months for Duarte and a volumetric surcharge of \$0.0202 per 100 gallons over a 18 month period for San Marino be added to the Company's tariffs.

The actions requested in this advice letter are not now the subject of any formal complaint with the California Public Utilities Commission or action in any court of law.

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of March 31, 2017.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients:	E-Mail:	Mailing Address:
Todd Pray <i>Senior Manager of Rates & Regulatory</i>	todd.pray@amwater.com	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper	sarah.leeper@amwater.com	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
CA Rates	ca.rates@amwater.com	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray
Senior Manager of Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

Schedule No. LA-1 (Continued)
Los Angeles County District Tariff Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF
2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is based on a percentage of the gross revenues of each bill. The percentages are as follows: City of San Marino 1.143%; City of San Gabriel 2.0%; City of Rosemead 1.183%; County of Los Angeles 2.417%; City of Duarte 1.966%; and City of Bradbury 1.959%. Franchise taxes in the Baldwin Hills District are 2.00% per customer on a monthly basis.
3. Per Advice Letter 1072, a surcharge of \$0.0039 per hundred gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
4. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharges.

a. Baldwin Hills:

- i. For the Baldwin Hills service area, a surcharge is included on each bill to recover the net under-collection in the WRAM and MCBA of \$628,803, including interest, as of December 31, 2016. The surcharge is \$0.0405 per 100 gallons and will remain effective for 18 months beginning March 31, 2017.

(C)(I)
(C)(I)
(C)

b. Duarte:

- i. For the Duarte service area, a surcharge is included on each bill to recover the net under-collection in the WRAM and MCBA of \$2,014,683, including interest, as of December 31, 2016. The surcharge is \$0.0435 per 100 gallons and will remain effective for 33 months beginning March 31, 2017.

(I)
(I)
(C)

(Continued)

(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 1159

ISSUED BY

J. LINAM

NAME

(TO BE INSERTED BY C.P.U.C.)

DATE FILED

EFFECTIVE

DECISION NO.

DIRECTOR - Rates & Regulatory

TITLE

RESOLUTION

Schedule No. LA-1 (Continued)
 Los Angeles County District Tariff Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS:

Fees and Surcharges: (Continued)

- 4. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharges. (Continued)

- c. **San Marino:**

For the San Marino service area, a surcharge is included on each bill to recover the net under-collection in the WRAM and MCBA of \$1,014,888, including interest, as of December 31, 2016. The surcharge is \$0.0202 per 100 gallons and will remain effective for 18 months beginning March 31, 2017. (C)
(C)(I)
(C)(I)
(C)

- 5. Per Advice Letter 1099, a surcharge of \$ 1.86 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
- 6. Per Advice Letter 1114-A, the under-collected balance in the Los Angeles County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge, as shown in the below table effective February 1, 2016. The total amount will be recovered from all classes of customers.

Service Area	Consolidated Expense Balance Account Surcharge per 100 gallon	Number of Months Applicable From Effective Date
Baldwin Hills	\$0.0288	36
Duarte	\$0.0159	12
San Marino	\$0.0207	24

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Sacramento- Geyserville	8321-W
San Diego County	6601-W, 6602-W
Ventura County	6603-W, 6604-W
<u>RATE SCHEDULES:</u>	
All Districts -- Low Income Ratepayer Assistance Program	
CA-LIRA California American Water	8363-W, 8461-W, 8431-W, 8467-W, 8350-W, 8370-W, 8240-W, 7724-W, 7725-W, 7726-W
CA-4 California American Water	8328-W, 8371-W, 7739-W, 7740-W, 7741-W, 8001-W, 8020-W, 8021-W, 7628-W, 7625-W, 7636-W, 7640-W, 7643-W, 7632-W, 7634-W, 8197-W, 8206-W
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LK-1 General Metered Service	8360-W, 8361-W, 8362-W, 7995-W, 8018-W, 7633-W
LK-F Facilities Fees	7651-W
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<i>(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)</i>	
MO-1-SF General Metered Service	8439-W, 8440-W, 8441-W, 8471-W, 8472-W, 8444-W, 8399-W, 8445-W, 8023-W
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The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

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DIRECTOR – Rates & Regulatory

TITLE

RESOLUTION

LOS ANGELES COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1159

EMAIL

California Public Utilities
Commission
Division of Ratepayer
Advocates
dra_water_al@cpuc.ca.gov

City Clerk
City of Duarte
1600 Huntington Drive
Duarte CA 91010
akanam@accessduarte.com

City of Inglewood
City Hall
One W. Manchester Blvd.
Inglewood CA 90301
brai@cityofinglewood.org

City Clerk
City of Irwindale
5050 North Irwindale Avenue
Irwindale CA 91706
lindak@ci.irwindale.ca.us

East Pasadena Water
Company
3725 Mountain View
Pasadena CA 91107
larry@epwater.com

San Gabriel Valley Water
Company
11142 Garvey Blvd.
El Monte CA 91734
dadelloso@sgvwater.com

Sunnyslope Water Company
1040 El Campo Drive
Pasadena CA 91109
sswc01_jcobb@sbcglobal.net

Audrey Jackson
Golden State Water Company
630 E. Foothill Blvd.
San Dimas CA 91773
afjackson@gswater.com

Bernardo R. Garcia
P.O. Box 37
San Clemente CA 92674-0037
uwua@redhabanero.com

David E. Morse
1411 W. Covell Blvd.
Suite 106-292
Davis CA 95616-5934
demorse@omsoft.com

James Polanco
Rates Clerk
CA Water Service Co
1720 North First Street
San Jose CA 95112
jpolanco@calwater.com

John K. Hawks
Executive Director
California Water Association
601 Van Ness Avenue
Suite 2047
San Francisco CA 94102-3200
jhawks_cwa@comcast.net

Kristen Winters
Utility Services of Alaska
3691 Cameron Street
Suite 201
Fairbanks AK 99709
kristen@akwater.net

Lisa Blair
California Public Utilities
Commission
Office of Ratepayer Advocates
505 Van Ness Avenue
Room 3200
San Francisco CA 94102
lwa@cpuc.ca.gov

Lisa Blair
California Public Utilities
Commission
Office of Ratepayer Advocates
505 Van Ness Avenue
Room 3200
San Francisco CA 94102
lwa@cpuc.ca.gov

Lori Anne Dolqueist, Esq
Attorney At Law
Nossamann LLP
50 California Street
34th Floor
San Francisco CA 94111
ldolqueist@nossaman.com;

Mary Martin
4611 Brynhurst Ave.
Los Angeles CA 90043
Marymartin03@aol.com

Richard Rauschmeier
California Public Utilities
Commission
ORA - Water Branch
505 Van Ness Avenue
Room 4209
San Francisco CA 94102-3214
rra@cpuc.ca.gov

LOS ANGELES COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1159

Sarah E. Leeper
 VP - Legal, Regulatory
 CA American Water Co.
 555 Montgomery St.
 Suite 816
 San Francisco CA 94102
 sarah.leeper@amwater.com

Veronica Ruiz
 City Clerk
 City of San Marino
 2200 Huntington Drive
 2nd floor
 San Marino CA 91108
 vruiz@cityofsanmarino.org

MAIL

California Public Utilities
 Commission
 Los Angeles Docket Office
 320 W. 4th Street
 #Suite 500
 Los Angeles CA 90013

City of Arcadia Water
 Department
 240 W. Huntington Drive
 Arcadia CA 91006

City of El Monte
 Water Department
 11333 Valley Blvd.
 El Monte CA 91734

City Attorney
 City of Los Angeles
 Department of Water and
 Power
 111 North Hope Street
 Los Angeles CA 90012

City Clerk
 City of Monrovia
 415 South Ivy Ave
 Monrovia CA 91016

City Clerk
 City of Rosemead
 8838 E. Valley Blvd.
 Rosemead CA 91770

City Clerk
 City of San Gabriel
 425 S. Mission Drive
 San Gabriel CA 91776

San Gabriel County Water
 District
 P.O. Box 2227
 San Gabriel CA 91776

City Clerk
 Temple City
 9701 Las Tunas Dr.
 Temple City CA 91780

B. Tilden Kim
 Attorney At Law
 Richards, Watson & Gershon
 355 South Grand Avenue, 40th
 Floor
 Los Angeles CA 90071-3101

Barbara Delory
 4030 Bartlett Ave
 Rosemead CA 91770-1332

Cary Reisman
 Wallin, Kress, Reisman &
 Kranitz LLP
 2800 28th Street, Suite 315
 Santa Monica CA 90405

Hatties Stewart
 4725 S. Victoria Ave
 Los Angeles CA 90043

James Markman
 Richards, Watson & Gershon
 355 South Grand Avenue, 40th
 Floor
 Los Angeles CA 90071-3101

Kenneth Rozell
 Wallin, Kress, Reisman &
 Kranitz LLP
 2800 28th Street, Suite 315
 Santa Monica CA 90405

Louis Atwell
 Director of Public Works
 City of Inglewood
 One W. Manchester Blvd.
 Inglewood CA 90301

M. Helen Lopez, CMC
 Chief Deputy City Clerk
 City of El Monte
 Water Department
 11333 Valley Blvd.
 El Monte CA 91731-3293

LOS ANGELES COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1159

Marcus Nixon
Asst. Public Advisor
320 W. 4th Street
Suite 500
Los Angeles CA 90013

Michelle Keith
City Manager
City of Bradbury
600 Winston Avenue
Bradbury CA 91008

Rex Ball
SR/WA, Senior Real Property
Mgmt
County of Los Angeles
222 South Hill Street, 3rd Floor
Los Angeles CA 90012

William Marticorena
Rutan & Tucker, LLP
611 Anton Blvd., 14th Floor
Costa Mesa CA 92626-1931
