

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



April 6, 2016

Jeffrey T. Linam  
Director – Rates & Regulation  
California-American Water Company  
4701 Beloit Dr.  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California American Water Company's Advice Letter No. 1111-A, (Supplement to Advice Letter No. 1111), filed on March 10, 2016, regarding the 2015 Interim Rate True-Up in the Monterey County District, Toro Service Area that was submitted in accordance with D.15-04-007.

Enclosed are copies of the following revised tariff sheets for the utility's files:

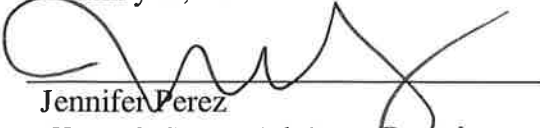
**P.U.C. Sheet No.**

**Title of Sheet**

8201-W	Schedule No. MO-TO-1, Monterey County District Tariff Area, Toro Service Area, General Metered Service, Continued
8202-W	Table of Contents, Page 3
8203-W	Table of Contents, Page 1

Please contact Jocelyn Wong at (415) 703-2144 if you have any questions.

Thank you,

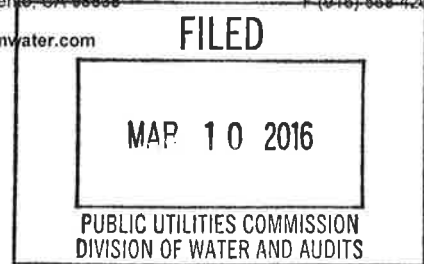
  
Jennifer Perez  
Water & Sewer Advisory Branch  
Division of Water and Audits

Enclosures





4701 Beloit Drive P (916)-568-4251  
Sacramento, CA 95838 F (916)-568-4260  
www.amwater.com



March 10, 2016

# SUPPLEMENT

ADVICE LETTER NO. 1111-A

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey County District – Toro service area which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
8201-W	Schedule No. MO-TO-1 (Continued) Monterey County District Tariff Area Toro Service Area GENERAL METERED SERVICE	8129-W
8202-W	TABLE OF CONTENTS Page 3	8198-W
8203-W	TABLE OF CONTENTS Page 1	8200-W

### PURPOSE

This supplemental advice letter addresses issues raised by DWA regarding the inclusion of interest during the recovery period. It also includes an adjustment to commercial paper rates between January to July 2013.

The purpose of the advice letter 1111 filing was to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Monterey County District – Toro service area in connection with Cal-Am’s 2013 General Rate Case (“GRC”) in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 (“RCP”) and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

### BACKGROUND

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the Monterey County District – Toro service area.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

*4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.*

#### **REQUEST**

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account ("CEBA") as a quantity based surcharge effective February 8, 2016. The proposed 3 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up of \$5,905 plus the outstanding Consolidated Expense Balancing Account ("CEBA") balance of (\$156,079) for the period January 1, 2015 through November 30, 2015 is (\$150,144).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

#### **SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

#### **EFFECTIVE DATE**

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 8, 2016.

#### **RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or

<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

<b>Email Address:</b> <a href="mailto:Water.Division@cpuc.ca.gov">Water.Division@cpuc.ca.gov</a>	<b>Mailing Address:</b> CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102
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On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

<b>Recipients:</b>	<b>E-Mail:</b>	<b>Mailing Address:</b>
Nancy Hollingsworth..... <i>Financial Analyst IIB</i>	nancy.hollingsworth@amwater.com...	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper..... <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com .....	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates.....	ca.rates@amwater.com .....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

### **REPLIES**<sup>3</sup>

<sup>3</sup> G.O. 96-B, General Rule 7.4.3

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Nancy Hollingsworth*

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Nancy Hollingsworth  
Financial Analyst IIB

**MONTEREY COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111-A**

**BY MAIL:**

Ms. Lisa Bilir  
California Public Utilities Commission  
Division of Ratepayer Advocates  
505 Van Ness Avenue  
San Francisco, CA 94102

Alco Water Service  
249 Williams Road  
Salinas, CA 93901

Yazdan Emrani, P.E.  
Deputy Pub Works Director – Operations  
Monterey County DPW  
168 W. Alisal Street, 2nd floor  
Salinas, CA 93901-2680

Ann Camel  
City Clerk  
City of Salinas  
200 Lincoln Avenue  
Salinas, CA 93901

Karen Crouch  
City Clerk,  
Carmel-By-The-Sea  
PO Box CC  
Carmel-by-the-Sea, CA 93921

Don Freeman  
City of Seaside  
City Attorney  
440 Harcourt Avenue  
Seaside, CA 93955

Rami Kahlon  
California Public Utilities Commission  
Water Advisory Branch, Room 3106  
505 Van Ness Avenue  
San Francisco, CA 94102-3214

Los Angeles Docket Office  
California Public Utilities Commission  
320 West 4th Street, Suite 500  
Los Angeles, CA 90013

Monterey Regional Water Pollution  
Control Agency (MRWPCA)  
5 Harris Court Road. Bldg D.  
Monterey, CA 93940

City of Pacific Grove  
c/o Community Development  
Department  
Attention: Sarah Hardgrave  
300 Forest Ave., 2nd floor

City of Sand City  
City Hall  
California & Sylvan Avenues  
Sand City, CA 93955  
Attn: City Clerk

Donald G. Freeman  
City Attorney  
City of Carmel-By-The-Sea  
PO Box 805  
Carmel-by-the-Sea, CA 93921

Edward W. O'Neill  
Davis Wright Tremaine LLP  
505 Montgomery Street  
San Francisco, CA 94111-6533

Marc J. Del Piero  
4062 El Bosque Drive  
Pebble Beach, CA 93953-3011

Danilo Sanchez  
California Public Utilities Commission  
Division of Ratepayer Advocates  
505 Van Ness Avenue, Room 3200  
San Francisco, CA 94102

California Dept of Health Services  
Division of Drinking Water &  
Environmental Management  
PO Box 997416  
Sacramento, CA 95899-7413

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942

City of Pacific Grove  
City Attorney/City Hall  
300 Forest Ave 2nd floor  
Pacific Grove, CA 93950

Deborah Mall, City Attorney  
City of Monterey  
512 Pierce Street  
Monterey, CA 93940

Irvin L. Grant  
Deputy County Counsel  
County of Monterey  
168 W. Alisal Street, 3rd floor  
Salinas, CA 93901-2680

Darryl D. Kenyon  
Monterey Commercial Property Owners  
Association  
P.O. Box 1953  
Monterey, CA 93942

**MONTEREY COUNTY DISTRICT SERVICE LIST  
CALIFORNIA-AMERICAN WATER COMPANY  
ADVICE LETTER 1111-A**

**BY E-MAIL:**

Richard Rauschmeier  
California Public Utilities Commission  
DRA - Water Branch, Rm 4209  
505 Van Ness Ave  
San Francisco, CA 94102  
rra@cpuc.ca.gov

City of Del Rey Oaks  
City Hall  
650 Canyon Del Rey Road  
Del Rey Oaks, CA 93940  
Attn: City Clerk  
citymanager@delreyoaks.org  
kminami@delreyoaks.org

City of Seaside  
City Hall  
440 Harcourt Avenue  
Seaside, CA 93955  
Attn: City Clerk  
dhodgson@ci.seaside.ca.us  
to'halloran@ci.seaside.ca.us

David C. Laredo  
Attorney at Law  
DeLay & Laredo  
606 Forest Ave  
Pacific Grove, CA 93950  
dave@laredolaw.net

Frances M. Farina  
Attorney at Law  
389 Princeton Avenue  
Santa Barbara, CA 93111  
ffarina@cox.net

Brent Reitz  
Capital Services  
P.O. Box 1767  
Pebble Beach CA 93953  
reitzb@pebblebeach.com

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942  
suresh@mpwmd.net

Ms. Lisa Bilir  
California Public Utilities Commission  
Division of Ratepayer Advocates  
505 Van Ness Avenue  
San Francisco, CA 94102

Bernardo R. Garcia  
PO Box 37  
San Clemente, CA 92674-0037  
uwua@redhabanero.com

George Riley  
Citizens for Public Water  
1198 Castro Road  
Monterey, CA 91940  
georgetriley@gmail.com

Mike Niccum  
General Manager  
Pebble Beach Community Svcs. District  
3101 Forest Lake Road  
Pebble Beach, CA 93953  
mniccum@pbcsd.org

Carmel Area Wastewater District  
3945 Rio Road  
Carmel, CA 93923  
buikema@cawd.org

Lloyd Lowery Jr.  
Noland, Hammerly, Etienne & Hoss P.C.  
333 Salinas St  
PO Box 2510  
Salinas, CA 93902-2510  
llowery@nheh.com

David Heuck  
Accounting  
2700-17-Mile-Drive  
Pebble Beach, CA 93953  
heuckd@pebblebeach.com

Division of Ratepayer Advocates  
California Public Utilities Commission  
dra\_water\_al@cpuc.ca.gov

Laura L. Krannawitter  
California Public Utilities Commission  
Executive Division, Rm 5303  
505 Van Ness Avenue  
San Francisco, CA 94102  
llk@cpuc.ca.gov

Jim Heisinger  
P.O. Box 5427  
Carmel, CA 93921  
hbm@carmellaw.com

City of Monterey  
City Hall  
Monterey, CA 93940  
Attn: City Clerk  
connolly@ci.monterey.ca.us

Gail T. Borkowski, Clerk of the Board  
County of Monterey  
P.O. Box 1728  
Salinas, CA 93902  
boydap@co.monterey.ca.us

City of Salinas  
Vanessa W. Vallarta – City Attorney  
200 Lincoln Avenue  
Salinas, CA 93901  
vanessav@ci.salinas.ca.us  
chrisc@ci.salinas.ca.us  
John K. Hawks  
Executive Director  
California Water Association  
601 Van Ness Avenue, Suite 2047  
San Francisco, CA 94102-3200  
jhawks\_cwa@comcast.net



**A.13-07-002 SERVICE LIST  
CALIFORNIA-AMERICAN WATER COMPANY  
ADVICE LETTER 1111-A**

**BY MAIL:**

James M. Boulter 133 Eton Court Santa Rosa, CA 95403	Richard Rauschmeier Calif Public Utilities Commission Water Branch 505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214 Niki Bawa Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5038 San Francisco, CA 94102-3214 Carl Wood Afl-Cio, Natl Regulatory Affairs Dir. Utility Workers Union Of America 2021 S. Nevada St Oceanside, CA 92054 Allison Brown Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5206 San Francisco, CA 94102-3214	Tony Tully Calif Public Utilities Commission Water Branch 505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214 Jennifer Kalafut Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5303 San Francisco, CA 94102-3214 John Reynolds Calif Public Utilities Commission Legal Division 505 Van Ness Avenue Room 4107 San Francisco, CA 94102-3214 W. Anthony Colbert Calif Public Utilities Commission Division Of Administrative Law Judges 505 Van Ness Avenue Room 5115 San Francisco, CA 94102-3214
Shanna Foley Calif Public Utilities Commission Legal Division 505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214	Case Coordinator California American Water Company 555 Montgomery Street, Ste 816 San Francisco, CA 94111	Cynthia Russell California American Water Company 555 Montgomery Street, Ste 816 San Francisco, CA 94111
John K. Hawks California Water Association 601 Van Ness Ave., Ste. 2047, Mc E3-608 San Francisco, CA 94102-3200	Celia Hirschman Co-Founder California Water Rights Association 1281 Montgomery St. / P.O. Box 1225 Oroville, CA 95965	Stephanie Tousley Co-Founder California Water Rights Association 1189 Montgomery St. Oroville, CA 95965
Lori Anne Dolqueist, Esq Attorney At Law Manatt Phelps & Phillips Llp One Embarcadero Center, 30Th Floor San Francisco, CA 94111 Lenard G Weiss Attorney At Law Manatt, Phelps & Phillips, Llp One Embarcadero Center, 30Th Floor San Francisco, CA 94111	James R. Riley Co-Chair Ccccwe P.O. Box 7223 Spreckels, CA 93962 Thomas Frutchey City Manager City Of Pacific Grove 300.Forest Avenue Pacific Grove, CA 93950	Arthur Mcloughlin For Wastewater Equity Central Coast Coalition Of Communities 9540 S. Century Oak Road Salinas, CA 93907  Michael Brown Cleantech Law Partners, Pc 2932 West Oriole Ave. Visalia, CA 93291
Sarah E. Leeper Vp - Legal, Regulatory California-American Water Company 555 Montgomery Street, Ste 816 San Francisco, CA 94111 Ken Dursa For Wastewater Equity Central Coast Coalition Of Communities 145.Harvest.Street Salinas, CA 93901 Bob Mckenzie Water Issues Consultant Coalition Of Peninsula Businesses P.O. Box 223542 Carmel, CA 93922	Frances M. Farina De Lay & Laredo 389 Princeton Avenue Santa Barbara, CA 93111-1637	David C. Laredo Attorney De Lay & Laredo 606 Forest Avenue Pacific Grove, CA 93950-4221

**A.13-07-002 SERVICE LIST  
CALIFORNIA-AMERICAN WATER COMPANY  
ADVICE LETTER 1111-A**

Sara Senger  
Las Palmas Wastewater Committee  
25374 Jasmine Ct.  
Salinas, CA 93908

David J. Stoldt  
Gen. Mgr.  
Monterey Peninsula Water Mngmnt. Dist.  
P.O. Box 85

Lloyd Lowrey  
Noland Hamerly Etienne & Hoss  
P.O. Box 2510  
Salinas, CA 93902

Yolanda Lewis  
Nat'L. Assn. Of Minority Co., Inc.-Namc  
1606 Caino Ruiz No.8-188  
San Diego, CA 92126

Mark Brooks  
Utility Workers Union Of America  
521 Central Ave.  
Nashville, TN 37211

Mari Lane  
Nossaman Llp  
50 California Street, Ste. 3400  
San Francisco, CA 94111

James Birkelund  
President  
Small Business Utility Advocates  
548 Market St., Ste. 11200  
San Francisco, CA 94104  
Aldrica Lattimore  
President And Founder  
Nat'L. Assn. Of Minority Companies, Inc.  
10606 Camino Ruiz No.8-188  
Martin Mattes  
Nossaman Llp  
50 California Street, Ste. 3400  
San Francisco, CA 94111-4799

**BY E-MAIL:**

Sara Senger  
Las Palmas Wastewater Committee  
SaraSenger@comcast.net

Shanna Foley  
ORA  
sf2@cpuc.ca.gov

James M. Boulter  
The Mark West Area Community Services  
Committee  
jboulter@comcast.net

Mark Brooks  
Utility Workers Union of America  
markbrooks@uwua.net

Frances M. Farina  
City of Pacific Grove  
Fran@LaredoLaw.net

Lloyd Lowrey  
Noland Hamerly Etienne & Hoss  
llowrey@nheh.com

Aldrica Lattimore  
National Association of Minority  
Companies, Inc. (NAMC)  
president@namcsd.org

David C. Laredo  
City of Pacific Grove/Monterey Peninsula  
Water Management District (MPWMD)  
dave@laredolaw.net

James Birkelund  
Small Business Utility Advocates (SBUA)  
james@UtilityAdvocates.org

Joseph O'Conner  
Butterfield-Riviera East Community  
Association  
joeoconnor@earthlink.net

Carl Wood  
Utility Workers Union of America  
carlwood@uwua.net

Michael Brown  
Cleantech Law Partners, PC  
MBrown@CleantechLawPartners.com

Bob Mckenzie  
Coalition of Peninsula Businesses (CPB)  
JRBobMcK@gmail.com

Arthur McLoughlin  
Central Coast Coalition of Communities  
for Wastewater Equity (CCCCWwE)  
mickey3643@aol.com

Sarah E. Leeper  
California-American Water Company  
sarah.leeper@amwater.com

Martin Mattes  
California Water Association (CWA)  
mmattes@nossaman.com

Tilden Kim  
Richards Watson & Gershon  
tkim@rwglaw.com

Yolanda Lewis  
National Association of Minority Co.,Inc,-  
NAMC  
president@namcsd.org

Ken Dursa  
Central Coast Coalition of Communitites  
for Wastewater Equity (CCCWE)  
kdursa@salinas.net

David J. Stoldt  
Monterey Peninsula Water Management  
District (MPWMD)  
DStoldt@mpwmd.net

**A.13-07-002 SERVICE LIST  
CALIFORNIA-AMERICAN WATER COMPANY  
ADVICE LETTER 1111-A**

Thomas Frutchey  
City of Pacific Grove  
tfrutchey@ci.pg.ca.us

John K. Hawks  
California Water Association  
jhawks@calwaterassn.com

Mari Lane  
Nossaman LLP  
mlane@nossaman.com

Celia Hirschman  
California Water Rights Association  
(CWRA)  
CeliaHirschman@gmail.com

Allison Brown  
California Public Utilities Commission  
(CPUC)  
aly@cpuc.ca.gov

Michael Zelazo  
California Public Utilities Commission  
(CPUC)  
mz3@cpuc.ca.gov

Tony Tully  
California Public Utilities Commission  
(CPUC)  
dt1@cpuc.ca.gov

James R. Riley  
Central Coast Coalition of Communities  
for Wastewater Equity (CCCCWE)  
rossriley@aol.com

Lenard G. Weiss  
Manatt, Phelps & Phillips, LLP  
lweiss@manatt.com

David P. Stephenson  
California American Water  
dave.stephenson@amwater.com

Stephanie Tousley  
California Water Rights Association  
(CWRA)  
Stephanie.tousley@gmail.com

Jennifer Kalafut  
California Public Utilities Commission  
(CPUC)  
jmk@cpuc.ca.gov

Niki Bawa  
California Public Utilities Commission  
(CPUC)  
nb2@cpuc.ca.gov

W. Anthony Colbert  
California Public Utilities Commission  
(CPUC)  
wac@cpuc.ca.gov

Case Coordinator  
California American Water  
casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ  
Manatt, Phelps & Phillips, LLP  
ldolqueist@manatt.com

Sherrene Chew  
California American Water  
ca.rates@amwater.com

Terence Shia, P.E.  
CPUC – DRA  
Terence.Shia@cpuc.ca.gov

John Reynolds  
California Public Utilities Commission  
(CPUC)  
jr5@cpuc.ca.gov

Richard Rauschmeier  
California Public Utilities Commission  
(CPUC)  
rra@cpuc.ca.gov

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

<b>Utility Name:</b> California American Water Monterey County District – Toro	<b>Date Mailed to Service List:</b> February 8, 2016
<b>District:</b> Service Area	
<b>CPUC Utility #:</b> U210W	<b>Protest Deadline (20<sup>th</sup> Day):</b> February 29, 2016
<b>Advice Letter #:</b> 1111	<b>Review Deadline (30<sup>th</sup> Day):</b> March 10, 2016
<b>Tier</b> <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance	<b>Requested Effective Date:</b> February 8, 2016
<b>Authorization</b> D.15-04-007	
<b>Description:</b> 2015 Interim Rate True-Up	<b>Rate Impact:</b> \$See AL See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Nancy Hollingsworth  
**Phone:** 916-568-4209  
**Email:** nancy.hollingsworth@amwater.com

**Utility Contact:** Todd Pray  
**Phone:** 916-568-4232  
**Email:** todd.pray@amwater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

DATE

STAFF

COMMENTS


APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

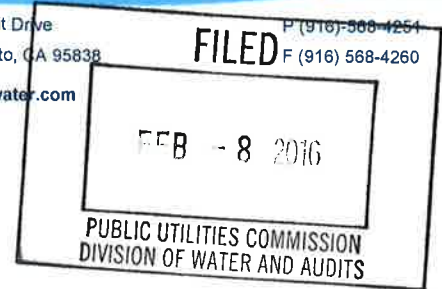
**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



4701 Beloit Drive  
 Sacramento, CA 95838  
 www.amwater.com



**SUPPLEMENTED BY**  
**A.L. No. 1111-A**  
**P.U.C. Sheet No.**  
**8201-8203-W**

February 8, 2016

ADVICE LETTER NO. 1111

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

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<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
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XXXX-W	TABLE OF CONTENTS Page 3	8132-W
XXXX-W	TABLE OF CONTENTS Page 1	8133-W

**PURPOSE**

The purpose of this advice letter filing is to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Monterey County District – Toro service area in connection with Cal-Am’s 2013 General Rate Case (“GRC”) in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 (“RCP”) and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

**BACKGROUND**

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*4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.*

### **REQUEST**

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account ("CEBA") as a quantity based surcharge effective February 8, 2016. The proposed 3 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up of \$5,905 plus the outstanding Consolidated Expense Balancing Account ("CEBA") balance of (\$156,079) for the period January 1, 2015 through November 30, 2015, including interest of (\$923) is (\$151,097).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

### **SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

### **EFFECTIVE DATE**

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 8, 2016.

### **RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**  
[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**  
CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

<b>Recipients:</b>	<b>E-Mail:</b>	<b>Mailing Address:</b>
Nancy Hollingsworth ..... <i>Financial Analyst IIB</i>	nancy.hollingsworth@amwater.com...	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper..... <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com .....	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates.....	ca.rates@amwater.com .....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

<sup>3</sup> G.O. 96-B, General Rule 7.4.3

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Nancy Hollingsworth*

---

Nancy Hollingsworth  
Financial Analyst IIB



**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111**

**BY MAIL:**

James M. Boulter  
133 Eton Court  
Santa Rosa, CA 95403

Shanna Foley  
Calif Public Utilities Commission  
Legal Division  
505 Van Ness Avenue Room 5125  
San Francisco, CA 94102-3214

John K. Hawks  
California Water Association  
601 Van Ness Ave., Ste. 2047, Mc E3-608  
San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq  
Attorney At Law  
Manatt Phelps & Phillips Llp  
One Embarcadero Center, 30Th Floor  
San Francisco, CA 94111

Lenard G Weiss  
Attorney At Law  
Manatt, Phelps & Phillips, Llp  
One Embarcadero Center, 30Th Floor  
San Francisco, CA 94111

Sarah E. Leeper  
Vp - Legal, Regulatory  
California-American Water Company  
333 Hayes Street, Suite 202  
San Francisco, CA 94102

Ken Dursa  
For Wastewater Equity  
Central Coast Coalition Of Communities  
145 Harvest Street  
Salinas, CA 93901

Bob Mckenzie  
Water Issues Consultant  
Coalition Of Peninsula Businesses  
P.O. Box 223542  
Carmel, CA 93922

Richard Rauschmeier  
Calif Public Utilities Commission  
Water Branch  
505 Van Ness Avenue Room 4209  
San Francisco, CA 94102-3214  
Niki Bawa  
Calif Public Utilities Commission  
Executive Division  
505 Van Ness Avenue Room 5038  
San Francisco, CA 94102-3214

Carl Wood  
Afl-Cio, Natl Regulatory Affairs Dir.  
Utility Workers Union Of America  
2021 S. Nevada St  
Oceanside, CA 92054  
Allison Brown  
Calif Public Utilities Commission  
Executive Division  
505 Van Ness Avenue Room 5206  
San Francisco, CA 94102-3214

Case Coordinator  
California American Water Company  
333 Hayes St., Ste. 202  
San Francisco, CA 94102

Celia Hirschman  
Co-Founder  
California Water Rights Association  
1281 Montgomery St. / P.O. Box 1225  
Oroville, CA 95965

James R. Riley  
Co-Chair  
Cccwve  
P.O. Box 7223  
Spreckles, CA 93962

Thomas Frutchey  
City Manager  
City Of Pacific Grove  
300 Forest Avenue  
Pacific Grove, CA 93950

Frances M. Farina  
De Lay & Laredo  
389 Princeton Avenue  
Santa Barbara, CA 93111-1637

Tony Tully  
Calif Public Utilities Commission  
Water Branch  
505 Van Ness Avenue Room 4209  
San Francisco, CA 94102-3214  
Jennifer Kalafut  
Calif Public Utilities Commission  
Executive Division  
505 Van Ness Avenue Room 5303  
San Francisco, CA 94102-3214  
John Reynolds  
Calif Public Utilities Commission  
Legal Division  
505 Van Ness Avenue Room 4107  
San Francisco, CA 94102-3214  
W. Anthony Colbert  
Calif Public Utilities Commission  
Division Of Administrative Law Judges  
505 Van Ness Avenue Room 5115  
San Francisco, CA 94102-3214

Cynthia Russell  
California American Water Company  
333 Hayes Street, Ste. 202  
San Francisco, CA 94102

Stephanie Tousley  
Co-Founder  
California Water Rights Association  
1189 Montgomery St.  
Oroville, CA 95965

Arthur Mcloughlin  
For Wastewater Equity  
Central Coast Coalition Of Communities  
9540 S. Century Oak Road  
Salinas, CA 93907

Michael Brown  
Cleantech Law Partners, Pc  
2932 West Oriole Ave.  
Visalia, CA 93291

David C. Laredo  
Attorney  
De Lay & Laredo  
606 Forest Avenue  
Pacific Grove, CA 93950-4221

**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111**

Sara Senger  
Las Palmas Wastewater Committee  
25374 Jasmine Ct.  
Salinas, CA 93908

David J. Stoldt  
Gen. Mgr.  
Monterey Peninsula Water Mngmnt. Dist.  
P.O. Box 85

Lloyd Lowrey  
Noland Hamerly Etienne & Hoss  
P.O. Box 2510  
Salinas, CA 93902

Yolanda Lewis  
Nat'L. Assn. Of Minority Co., Inc.-Namc  
1606 Caino Ruiz No.8-188  
San Diego, CA 92126

Mark Brooks  
Utility Workers Union Of America  
521 Central Ave.  
Nashville, TN 37211

Mari Lane  
Nossaman Llp  
50 California Street, Ste. 3400  
San Francisco, CA 94111

James Birkelund  
Prsident  
Small Busness Utility Advocates  
548 Market St., Ste. 11200  
San Francisco. CA 94104  
Aldrica Lattimore  
President And Founder  
Nat'L. Assn. Of Minority Companies, Inc.  
10606 Camino Ruiz No.8-188

Martin Mattes  
Nossaman Llp  
50 California Street, Ste. 3400  
San Francisco, CA 94111-4799

**BY E-MAIL:**

Sara Senger  
Las Palmas Wastewater Committee  
SaraSenger@comcast.net

Shanna Foley  
ORA  
sf2@cpuc.ca.gov

James M. Boulter  
The Mark West Area Community Services  
Committee  
jboulter@comcast.net

Mark Brooks  
Utility Workers Union of America  
markbrooks@uwua.net

Frances M. Farina  
City of Pacific Grove  
Fran@LaredoLaw.net

Lloyd Lowrey  
Noland Hamerly Etienne & Hoss  
llowrey@neh.com

Aldrica Lattimore  
National Association of Minority  
Companies, Inc. (NAMC)  
president@namcsd.org

David C. Laredo  
City of Pacific Grove/Monterey Peninsula  
Water Management District (MPWMD)  
dave@laredolaw.net

James Birkelund  
Small Business Utility Advocates (SBUA)  
james@UtilityAdvocates.org

Joseph O'Conner  
Butterfield-Riviera East Community  
Association  
joeoconnor@earthlink.net

Carl Wood  
Utility Workers Union of America  
carlwood@uwua.net

Michael Brown  
Cleantech Law Partners, PC  
MBrown@CleantechLawPartners.com

Bob Mckenzie  
Coalition of Peninsula Businesses (CPB)  
JRBobMcK@gmail.com

Arthur McLoughlin  
Central Coast Coalition of Communities  
for Wastewater Equity (CCCCWwE)  
mickey3643@aol.com

Sarah E. Leeper  
California-American Water Company  
sarah.leeper@amwater.com

Martin Mattes  
California Water Association (CWA)  
mmattes@nossaman.com

Tilden Kim  
Richards Watson & Gershon  
tkim@rwglaw.com

Yolanda Lewis  
National Association of Minority Co.,Inc,-  
NAMC  
president@namcsd.org

Ken Dursa  
Central Coast Coalition of Communitites  
for Wastewater Equity (CCCWE)  
kdursa@salinas.net

David J. Stoldt  
Monterey Peninsula Water Management  
District (MPWMD)  
DStoldt@mpwmd.net

**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111**

Thomas Frutchey  
City of Pacific Grove  
tfrutchey@ci.pg.ca.us

John K. Hawks  
California Water Association  
jhawks@calwaterassn.com

Mari Lane  
Nossaman LLP  
mlane@nossaman.com

Celia Hirschman  
California Water Rights Association  
(CWRA)  
CeliaHirschman@gmail.com

Allison Brown  
California Public Utilities Commission  
(CPUC)  
aly@cpuc.ca.gov

Michael Zelazo  
California Public Utilities Commission  
(CPUC)  
mz3@cpuc.ca.gov

Tony Tully  
California Public Utilities Commission  
(CPUC)  
dt1@cpuc.ca.gov

James R. Riley  
Central Coast Coalition of Communities  
for Wastewater Equity (CCCCWE)  
rossriley@aol.com

Lenard G. Weiss  
Manatt, Phelps & Phillips, LLP  
lweiss@manatt.com

David P. Stephenson  
California American Water  
dave.stephenson@amwater.com

Stephanie Tousley  
California Water Rights Association  
(CWRA)  
Stephanie.tousley@gmail.com

Jennifer Kalafut  
California Public Utilities Commission  
(CPUC)  
jmk@cpuc.ca.gov

Niki Bawa  
California Public Utilities Commission  
(CPUC)  
nb2@cpuc.ca.gov

W. Anthony Colbert  
California Public Utilities Commission  
(CPUC)  
wac@cpuc.ca.gov

Case Coordinator  
California American Water  
casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ  
Manatt, Phelps & Phillips, LLP  
ldolqueist@manatt.com

Sherrene Chew  
California American Water  
ca.rates@amwater.com

Terence Shia, P.E.  
CPUC – DRA  
Terence.Shia@cpuc.ca.gov

John Reynolds  
California Public Utilities Commission  
(CPUC)  
jr5@cpuc.ca.gov

Richard Rauschmeier  
California Public Utilities Commission  
(CPUC)  
rra@cpuc.ca.gov

Schedule No. MO-TO-1 (Continued)  
Monterey County District Tariff Area  
Toro Service Area  
GENERAL METERED SERVICE

SPECIAL CONDITIONS

General Items:

1. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.
2. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.

Fees & Surcharges:

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. Per Advice Letter 1072-B, a surcharge of \$0.0245 per 100 gallons will be applied to each bill to fund California American Water conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills, Ryan Ranch, Ralph Lane, Chualar, Ambler, and Toro areas.
3. Per Advice Letter 1111-A, the under-collected balance in the Toro Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0773 per 100 gallons over 36 months effective February 19, 2016. The total amount will be recovered from all classes of customers. (C)
4. Per Advice Letter 1098, a surcharge of \$1.86 per hundred gallons for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

(TO BE INSERTED BY UTILITY)  
ADVICE LETTER NO. 1111-A

ISSUED BY  
J. LINAM  
NAME

(TO BE INSERTED BY C.P.U.C.)  
DATE FILED 3-10-2016  
EFFECTIVE 2-8-2016

DECISION NO. D.15-04-007

DIRECTOR – Rates & Regulatory  
TITLE

RESOLUTION \_\_\_\_\_

TABLE OF CONTENTS (Continued)  
(Page 3)

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
<u>RATE SCHEDULES (Continued):</u>	
Monterey County District (Continued)	
<i>(Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Service Areas) (Continued)</i>	
MO-1 MU General Metered Service Residential/Non-Residential Mixed Use	7988-W, 7728-W, 7729-W, 7730-W 7731-W, 7732-W, 7733-W, 7734-W 7735-W, 7736-W, 8026-W
<i>(Ambler Park, Ralph Lane &amp; Chualar Service Areas)</i>	
MO-ARC-1 General Metered Service	8118-W, 8119-W, 8120-W, 7700-W, 8027-W, 7642-W
<i>(Toro)</i>	
MO-TO-1 General Metered Service	8128-W, 8201-W, 8019-W, 7644-W (C)
<i>(Garrapata)</i>	
MO-GA-2 General Flat Rate Service	7693-W, 8170-W
Sacramento District	
SC-1 General Metered Service	8103-W, 8104-W, 8196-W, 8028-W, 7635-W
SC-DU-1 General Metered Service	8160-W
SC-DU-1F Flat Rate Service	8161-W
San Diego County District	
SD-1 General Metered Service	8094-W, 8095-W, 8184-W, 8013-W, 7624-W
Ventura County District	
VN-1 General Metered Service	8084-W, 8085-W, 8190-W, 8014-W, 8074-W, 7627-W
VN-9MC Metered Construction Water	8087-W, 8191-W, 8015-W, 7629-W

(Continued)

(TO BE INSERTED BY UTILITY)  
ADVICE LETTER NO. 1111-A

ISSUED BY  
D. P. STEPHENSON  
NAME

(TO BE INSERTED BY C.P.U.C.)  
DATE FILED 3-10-2016  
EFFECTIVE 2-8-2016

DECISION NO. \_\_\_\_\_

DIRECTOR - Rates & Regulatory  
TITLE

RESOLUTION \_\_\_\_\_

**TABLE OF CONTENTS**

(Page 1)

The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	8159-W
TABLE OF CONTENTS	8203-W, 8199-W, 8202-W, 8153-W, (C) 8075-W, 8068-W, 8069-W, 8070-W, 8071-W
PRELIMINARY STATEMENTS	7828-W, 8177-W, 8003-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 8079-W, 7759-W, 7830-W, 7831-W, 7831-W, 7833-W, 7834-W, 7835-W, 7836-W, 7837-W, 7838-W, 7769-W, 7770-W, 7771-W, 7772-W, 7773-W, 7774-W, 7775-W, 7776-W, 7777-W, 7778-W, 7779-W, 7780-W, 7781-W, 7782-W, 7783-W, 7784-W, 7785-W, 7786-W, 7787-W, 7788-W, 7789-W, 7790-W, 7791-W, 7792-W, 7793-W, 7794-W, 7795-W, 7796-W, 7797-W, 7798-W, 7799-W, 7800-W, 7801-W, 7802-W, 7803-W, 7804-W, 7806-W, 8080-W, 8081-W, 8082- W,8178-W
<b><u>SERVICE AREA MAP:</u></b> California-American Water Company	5470-W
Larkfield	6569-W
Los Angeles County	6570-W
Baldwin Hills	6571-W, 6572-W,
Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 6577-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962-W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 7054-W

(Continued)

(TO BE INSERTED BY UTILITY)  
ADVICE LETTER NO. 1111-A

ISSUED BY  
D. P. STEPHENSON  
NAME

(TO BE INSERTED BY C.P.U.C.)  
DATE FILED 3-10-2016  
EFFECTIVE 2-8-2016

DECISION NO. \_\_\_\_\_

DIRECTOR – Rates & Regulatory  
TITLE

RESOLUTION \_\_\_\_\_