PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3298



March 28, 2017

Jeffrey T. Linam
Director – Rates & Regulation
California-American Water Company
4701 Beloit Dr.
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California American Water Company's Advice Letter No. 1151-A, (Supplement to Advice Letter No. 1151), filed on March 3, 2017, regarding the 2017 Step Rate filing located in the Toro Service Area of the Monterey County District which was submitted in accordance with D.15-04-007.

Enclosed are copies of the following revised tariff sheets for the utility's files:

P.U.C. Sheet No.	<u>Title of Sheet</u>
8465-W	Schedule No. MO-TO-1, General Metered Service
8466-W	Schedule No. MO-TO-1, General Metered Service, Continued
8467-W	Schedule No. CA-LIRA, Low Income Ratepayer Assistance Program, Continued
8468-W	Table of Contents, Page 2, Continued
8469-W	Table of Contents, Page 3, Continued
8470-W	Table of Contents, Page 1

Please contact Jocelyn Wong at (415) 703-2144 if you have any questions.

Thank you,

/s/JENNIFER PEREZ

Jennifer Perez Water & Sewer Advisory Branch Division of Water and Audits

Enclosures



www.amwater.com

March 3, 2017

California Public Utilities Commission Water Division Room 3102, State Building 505 Van Ness Ave. San Francisco, CA 94102-3298

Dear Division of Water and Audits:

Enclosed please find an original and three copies of Advice Letter No. 1151-A. Along with the Advice Letter, two copies of the workpapers have been enclosed as well.

Regards,

/s/ Bahman Pourtaherian

Bahman Pourtaherian Financial Analyst

CC: Lisa Bilir, California Public Utilities Commission, Division of Ratepayer Advocates, 505 Van Ness Ave., San Francisco, CA 94102-3298

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please

Date Mailed to Service List: March 3, 2017

Protest Deadline (20th Day): March 2, 2017

Review Deadline (30th Day): March 12, 2017

Requested Effective Date: March 12, 2017

Utility Contact: Sherrene Chew

Rate Impact: See AL

See AL %

Utility Name: California American Water

Tier □1 ⊠2

Description: 2017 Step rate filing.

Utility Contact: Bahman Pourtaherian

Authorization D.15-04-007

CPUC Utility #: U210W

Advice Letter #: 1151 -A

District: Monterey County District

see the "Response or Protest" section in the advice letter for more information.

Toro Service Area of the

□3

☐ Compliance

Phone	: 916-568-4241		Phone:	916-568-4233
Email	: bahman.pourtaherian	@amwater.com	Email:	Sherrene.chew@amwater.com
DWA Cont	act: Tariff Unit			
Pho	one: (415) 703-1133			
Em	nail: <u>Water.Division@cp</u> u	uc.ca.gov		
		DWA USE ONL	.Y	
<u>DATE</u>	<u>STAFF</u>		CON	<u>MMENTS</u>
[] APPROVED		[]WITHDRA	WN	[] REJECTED
Signature		Commen	ts:	
Date:		_		

Canceling





March 3, 2017

ADVICE LETTER NO. 1151-A

C.P.U.C.

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Toro service area of Monterey County District which are attached hereto:

Sheet No.	<u>Title of Sheet</u>	Sheet No.
8465-W	Schedule No. MO-TO-1 Monterey County District Tariff Area Toro Service Area GENERAL METERED SERVICE	8128-W
8466-W	Schedule No. MO-TO-1 (Continued) Monterey County District Tariff Area Toro Service Area GENERAL METERED SERVICE	8293-W
8467-W	Schedule No. CA-LIRA (Continued) California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM	8340-W
8468-W	TABLE OF CONTENTS (Continued) (Page 2)	8463-W
8469-W	TABLE OF CONTENTS (Continued) (Page 3)	8462-W
8470-W	TABLE OF CONTENTS (Page 1)	8464-W

Purpose:

This advice letter filing is being made in order to request approval of the 2017 step increase for Sacramento District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007. This supplement is being submitted for minor revisions discussed with the Division of Water and Audits, including an update to the Franchise Fee, present rate revenue, and total consumption for rate design.

Background:

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

...ORA and CAW further agree:

- 1. The program will be a Company-wide program,
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers,
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and

The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.

Request:

This advice letter filing is to request implementation of Toro's 2017 step rates. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 8.06% on a recorded basis and 10.66% on a "proforma" basis. The most recent authorized rate of return per D. 12-07-009 was 8.41%, adjusted to 8.50% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is higher the authorized rate of return of the same time period, the district is over earning and is, therefore, not entitled to the full step rate increase. California American Water, therefore, respectfully requests a step rate increase in the amount of \$6,308 or 0.80%.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Tier Designation:

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

Effective Date:

Pursuant to D.15-04-007, California American requests an effective date of March 12, 2017

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.
- 7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water.Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:	Mailing Address:
todd.pray@amwater.com	4701 Beloit Drive Sacramento, CA 95838
sarah.leeper@amwater.com	333 Hayes Street, Ste. 202 San Francisco, CA 94102
bahman.pourtaherian@amwater.com	4701 Beloit Drive Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray

Senior Manager - Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. 8465-W

CANCELLING Revised C.P.U.C SHEET NO. 8128-W

Schedule No. MO-TO-1 Monterey County District Tariff Area Toro Service Area **GENERAL METERED SERVICE**

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

Toro sub-unit, Monterey County.

RATES

Quantity Rates:

	Base Rate	
Residential Customers:	Per 100 gal (CGL)	
For the first 89.7 CGL	\$0.6648	(1)
For the next 44.9 CGL	\$0.9497	(1)
For the next 725.6 CGL	\$1.0921	(I)
For all water delivered over 860.2 CGL.	\$1.6239	(I)

All Other Customers:

For all water delivered, per CGL \$0.9497 (I)

Service Charge: General Metered	<u>Per Meter</u>	
-	Per Month	
For 5/8 x 3/4-inch meter	\$10.13	(I)
For 3/4-inch meter	\$15.19	
For 1-inch meter	\$25.31	
For 1-1/2-inch meter	\$50.63	
For 2-inch meter	\$81.00	
For 3-inch meter	\$151.88	
For 4-inch meter	\$253.13	
For 6-inch meter	\$506.25	(I)
For 8-inch meter	\$810.00	

The Meter Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1151-A	J.T. LINAM	DATE FILED	3-3-2017
		NAME	EFFECTIVE	3-12-2017
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	
		TITLE	_	

655 West Broadway, #1410 San Diego, CA 92101 Revised C.F

C.P.U.C SHEET NO.

8466-W

CANCELLING Revised

C.P.U.C SHEET NO.

8293-W

(C)

(C)

Schedule No. MO-TO-1 Monterey County District Tariff Area Toro Service Area GENERAL METERED SERVICE

SPECIAL CONDITIONS

General Items:

- 1. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.
- 2. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.

Fees and Surcharges:

- 3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 4. Per Advice Letter 1072-B, a surcharge of \$0.0245 per 100 gallons will be applied to each bill to fund California American Water conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission. This surcharge is applicable to customers in the Monterey main, Bishop, Hidden Hills, Ryan Ranch, Ralph Lane, Chualar, Ambler, and Toro areas.
- 5. Per Advice Letter 1111-A, the under-collected balance in the Toro Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0773 per 100 gallons over 36 months effective February 19, 2016. The total amount will be recovered from all classes of customers.
- 6. Per Advice Letter 1151-A, a surcharge of \$1.21 per hundred gallons for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers and will remain in effect until updated as part of

Cal_Am's GRC for the 2018 test year (C)

- 7. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.
 - a. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2015, the net under-collection totals \$248,365 including interest. The surcharge is \$0.1171 per 100 gallons and will remain effective for 36 months beginning March 31, 2016.

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1151-A	J.T. LINAM	DATE FILED	3-3-2017
		NAME	EFFECTIVE	3-12-2017
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	
		TITLE	_	

For the first 89.7 CGL

For the next 89.7 CGL

For the next 269.2 CGL

For all water delivered over 448 8 CGL

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. 8467-W

CANCELLING New C.P.U.C SHEET NO. 8340-W

\$0.3952

\$0.5067

\$0.8424

\$1 2414

	lo. CA-LIRA (Continued)
	nia American Water YER ASSISTANCE PROGRAM
RATES:	YER ASSISTANCE PROGRAW
Central Division:	
Toro	
Quantity Rates:	Base Rate
Quantity Nates.	Per 100 gal (CGL)
For the first 89.8 CGL	\$0.5318 (I)
For the next 44.9 CGL	\$0.7597
For the next 725.6 CGL	\$1.0921
	\$1.6239 (I)
For all water delivered over 860.2 gal.	\$1.0239 (1)
Service Charge: General Metered	Per Meter
	Per Month
For 5/8 x 3/4-inch meter	\$8.10 (I)
For 3/4-inch meter	\$12.15
For 1-inch meter	\$20.25
For 1-1/2-inch meter	\$40.50
For 2-inch meter	\$64.80
For 3-inch meter	\$121.50
For 4-inch meter	\$202.50
For 6-inch meter	\$405.00
For 8-inch meter	\$648.00 (I)
Southern Division:	•
Ventura	
Quantity Rates:	Base Rate_
	Per 100 gal (CGL)
	<u> </u>

	V
Service Charge: General Metered	Per Meter

Per Month For 5/8 x 3/4-inch meter \$7.86 For 3/4-inch meter \$11.78 For 1-inch meter \$19.65 For 1-1/2-inch meter \$39.30 For 2-inch meter \$62.86

For 3-inch meter \$117.88 For 4-inch meter \$196.46 For 6-inch meter \$392.92 For 8-inch meter \$628.67

For 10-inch meter \$903.72

(Continued)

(TO BE INSERTED BY UTILITY) (TO BE INSERTED BY C.P.U.C) ISSUED BY 3-3-2017 ADVICE LETTER NO. 1151-A J.T. LINAM DATE FILED NAME 3-12-2017 EFFECTIVE DECISION NO. RESOLUTION DIRECTOR - Rates & Regulatory

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8463-W

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l						

(TO BE INSERTED BY I	JTILITY)	ISSUED BY	(TO BE INSERTE	ED BY C.P.U.C.)
ADVICE LETTER NO.	1151-A	J. T. LINAM	DATE FILED	3-3-2017
		NAME	EFFECTIVE	3-12-2017
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION	

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8462-W

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Revised

C.P.U.C. SHEET NO.

8469-W

(Toro)

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(Garrapata)

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SC-DU-1 General Metered Service 8160-W SC-DU-1F Flat Rate Service 8161-W

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(Continued)

ADVICE LETTER NO. 1151-A

DECISION NO. SISSUED BY (TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 1151-A

J. T. LINAM

DATE FILED 3-3-2017

EFFECTIVE 3-12-2017

DIRECTOR - Rates & Regulatory TITTLE

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8464-W

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(Page 1) The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the	
Utility, together with other pertinent information:	
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ADVICE LETTER NO. 1151-A

DECISION NO. SISSUED BY (TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 1151-A

J. T. LINAM

DATE FILED 3-3-2017

EFFECTIVE 3-12-2017

DIRECTOR - Rates & Regulatory TITLE

(Continued)

MONTEREY WASTEWATER COUNTY DISTRICT SERVICE LIST **CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1151-A**

BY MAIL:

Marc J. Del Piero 4062 El Bosque Drive Pebble Beach, CA 93953-3011

Alco Water Service 249 Williams Road Salinas, CA 93901

Yazdan Emrani, P.E.

Deputy Pub Works Director - Operations

Monterey County DPW

168 W. Alisal Street, 2nd floor

Salinas, CA 93901-2680

Ann Camel City Clerk City of Salinas 200 Lincoln Avenue Salinas, CA 93901

Karen Crouch City Clerk,

Carmel-By-The-Sea

PO Box CC

Carmel-by-the-Sea, CA 93921

Don Freeman City of Seaside City Attorney

440 Harcourt Avenue Seaside, CA 93955

Sarah E. Leeper

California American Water 555 Montgomery St, Suite 816 San Francisco, CA 94111

Los Angeles Docket Office

California Public Utilities Commission 320 West 4th Street, Suite 500

Los Angeles, CA 90013

Monterey Regional Water Pollution

Control Agency (MRWPCA) 5 Harris Court Road. Bldg D.

Monterey, CA 93940

City of Pacific Grove

c/o Community Development

Department

Attention: Sarah Hardgrave 300 Forest Ave., 2nd floor

City of Sand City

City Hall

California & Sylvan Avenues

Sand City, CA 93955 Attn: City Clerk

Donald G. Freeman

City Attorney

City of Carmel-By-The-Sea

PO Box 805

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