PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3298



February 16, 2017

Jeffrey T. Linam Director – Rates & Regulation California-American Water Company 4701 Beloit Dr. Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California American Water Company's Advice Letter No. 1140-A, (Supplement to Advice Letter No. 1140), filed on January 19, 2017, regarding the 2017 Step Rate filing located in the Sacramento District that was submitted in accordance with D.15-04-007.

Enclosed are copies of the following revised tariff sheets for the utility's files:

P.U.C. Sheet No.	Title of Sheet
8353-W	Schedule No. SC-1, General Metered Service
8354-W	Schedule No. SC-1, General Metered Service, Continued
8355-W	Schedule No. SC-1, General Metered Service, Continued
8356-W	Schedule No. CA-LIRA, Low Income Ratepayer Assistance Service
8357-W	Table of Contents, Page 2, Continued
8358-W	Table of Contents, Page 3, Continued
8359-W	Table of Contents, Page 1

Please contact Jocelyn Wong at (415) 703-2144 if you have any questions.

Thank you,

/s/JENNIFER PEREZ

Jennifer Perez Water & Sewer Advisory Branch Division of Water and Audits

Enclosures

CALIFORNIA PUBLIC UTILITIES COMMISSION **DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Date Mailed to Service List: January 19,2017

Protest Deadline (20th Day): December 12, 2016

Review Deadline (30th Day): December 22, 2016

Rate Impact: \$See AL

See AL%

Requested Effective Date: January 7, 2017

Utility Name: California American Water

District: Sacramento District

□3

 \square Compliance

Tier □1 ⊠2

Description: 2017 Step rate filing.

Authorization D.15-04-007

CPUC Utility #: U210W

Advice Letter #: 1140-A

	ne for this advice letter is 20 days to section in the advice letter for more	from the date that this advice letter v re information.	was mailed to the service list. Please
Utility Contact:	Melody Singh	Utility Contact:	Sherrene Chew
Phone:	916-568-4246	Phone:	916-568-4233
Email:	melody.singh@amwater.com	Email:	Sherrene.chew@amwater.com
DWA Contact:	Tariff Unit		
Phone:	(415) 703-1133		
Email:	Water.Division@cpuc.ca.gov		
	DWA	USE ONLY	
<u>DATE</u>	<u>STAFF</u>	CON	<u>MMENTS</u>
		-	
[] APPROVED	7[]	WITHDRAWN	[] REJECTED
Signature:		Comments:	
Date:			





January 19, 2017

ADVICE LETTER NO. 1140-A

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Sacramento District which are attached hereto:

C.P.U.C. Sheet No.	<u>Title of Sheet</u> Schedule No. SC-1	Canceling Sheet No.
8353-W	Sacramento District Tariff Area GENERAL METERED SERVICE	8325-W
8354-W	Schedule No. SC-1 (Continued) Sacramento District Tariff Area GENERAL METERED SERVICE	8326-W
8355-W	Schedule No. SC-1 (Continued) Sacramento District Tariff Area GENERAL METERED SERVICE	8289-W
8356-W	Schedule No.CA-LIRA Sacramento District Tariff Area LOW INCOME RATEPAYER ASSISTANCE SERVICE	8327-W
8357-W	TABLE OF CONTENTS (Continued) (Page 2)	8351-W
8358-W	TABLE OF CONTENTS (Continued) (Page 3)	8342-W
8359-W	TABLE OF CONTENTS (Page 1)	8352-W

Purpose:

This advice letter filing is being made in order to request approval of the 2017 step increase for Sacramento District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007. This supplement is being submitted for minor revisions discussed with the Division of Water and Audits, including an update to the Private Fire Service (PFS) counts, miscellaneous service revenues, and customer counts for rate design.

Background:

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

...ORA and CAW further agree:

- 1. The program will be a Company-wide program,
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers,
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and

The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.

Request:

This advice letter filing is to request implementation of Sacramento District's 2017 step increase. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 7.54% on a recorded basis and 8.59% on a "proforma" basis. The most recent authorized rate of return per D.12-07-009 was 8.41% adjusted to 8.51% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is above the authorized rate of return of the same time period, the district is over earning and is, therefore, not entitled to the full step rate increase. California American Water, therefore, respectfully requests a step rate increase in the amount of \$2,573,107 or 4.85%.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Tier Designation:

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

Effective Date:

Pursuant to D.15-04-007, California American requests an effective date of January 7, 2017.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.
- 7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B. General Rule 7.4.2

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water.Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address: Mailing Address:

todd.pray@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

sarah.leeper@amwater.com 555 Montgomery Street, Ste. 816

San Francisco, CA 94111

nancy.mohr@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray

Senior Manager - Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

655 West Broadway, #1410 San Diego, CA 92101 Revised

CANCELLING Revised

C.P.U.C SHEET NO. 8353-W

C.P.U.C SHEET NO.

8325-W

(l)

Schedule No. SC-1
Sacramento District Tariff Area
GENERAL METERED SERVICE

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

The unincorporated communities, subdivisions, and adjacent areas generally known as Cordova, Rosemont, Parkway Estates, Lindale, Foothill Farms, Arlington Heights, Linwood, Loretto Heights, Arden Highlands, Arden Estates and Sunrise Security Park. A part of the City of Citrus Heights and the communities of Antelope and Sabre City in Sacramento and Placer counties. The city of Isleton and vicinity and the unincorporated community of Walnut Grove in Sacramento County. The lower southwestern portion of Placer County including the areas Known as Morgan Creek, Doyle Ranch, Sun Valley Oaks and Riolo Greens.

RATES

Quantity Rates:

For 12-inch meter

<u>Per 100 gal (CGL)</u> \$0.4073	(I)
. \$0.4439	(1)
\$0.4149	(I)
Per Meter Per Month	
\$12.94	(I)
\$19.42	Ĩ
\$32.36	
\$64.72	
\$103.56	
\$194.17	
\$323.62	
\$647.24	
\$1,035.58	
\$1,488.65	
	\$0.4073 \$0.4439 \$0.4149 Per Meter Per Month \$12.94 \$19.42 \$32.36 \$64.72 \$103.56 \$194.17 \$323.62 \$647.24 \$1,035.58

Base Rate

The Service Charge is a readiness-to-serve charge which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

\$2,135.89

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1140-A	J.T. LINAM	DATE FILED	1-19-2017
		NAME	EFFECTIVE	1-25-2017
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	
		TITLE		

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. 8354-W

CANCELLING Revised C.P.U.C SHEET NO. 8326-W

Per Meter

Schedule No. SC-1 (continued) Sacramento District Tariff Area **GENERAL METERED SERVICE**

RATES (Continued)

Service Charge: Residential Fire Sprinkler System (RFSS)

	Per Month	
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler	\$18.87	(1)
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$22.08	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler	\$23.15	
For 3/4-inch residential to 1-inch residential metered fire sprinkler	\$27.51	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$30.71	
For 3/4-inch residential to 2-inch residential metered fire sprinkler	\$31.77	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler	\$47.63	
For 1-inch residential to 2-inch residential metered fire sprinkler	\$48.51	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler	\$90.80	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all Residential Fire Sprinkler System metered services only and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(10 BE INSERTED BY	UTILITY)	ISSUED BY	(10 BE INSERT	EDBY C.P.U.C)
ADVICE LETTER NO.	1140-A	J.T. LINAM	DATE FILED	1-19-2017
		NAME	EFFECTIVE	1-25-2017
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	

TITLE

655 West Broadway, #1410 San Diego, CA 92101

C.P.U.C SHEET NO. 8355-W Revised

CANCELLING Revised C.P.U.C SHEET NO.

8289-W

(C)

Schedule No. SC-1 (continued) Sacramento District Tariff Area GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
- 2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 4. Per Advice Letter 1072-B, a surcharge of \$0.0052 per hundred gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 5. Per Advice Letter 1140-A, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
- 6. Per Advice Letter 1102-B, the balance in the Sacramento District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0244 per 100 gallons over 12 months. The effective date for surcharge is June 28, 2016 and will be recovered from all customer classes in all service areas.
- 7. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.
 - a. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2015, the net under-collection totals \$9,391,643 including interest. The surcharge is \$0.0504 per 100 gallons and will remain effective for 21 months beginning March 31, 2016.

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERT	ED BY C.P.U.C)
ADVICE LETTER NO.	1140-A	J.T. LINAM	DATE FILED	1-19-2017
		NAME	EFFECTIVE	1-25-2017
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	
		TITLE	=	

655 West Broadway, #1410 San Diego, CA 92101

Original	C.P.U.C SHEET NO.	8356-W
	_	

CANCELLING C.P.U.C SHEET NO. 8327-W

Schedule No. CA-LIRA California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

All territories served by California American Water Company

RATES:

Northern Division:

Sacramento District

Quantity Rates: <u>Base Rate</u>

Per 100 gal (CGL)

For the first 142.1 CGL \$0.3258
For all water delivered over 142.1 CGL \$0.3551

(I) (I)

(I)

Service Charge: General Metered <u>Per Meter</u>

Per Month \$10.36

For 5/8 x 3/4-inch meter \$10.36 For 3/4-inch meter \$15.53 For 1-inch meter \$25.89

For 1-inch meter \$25.89 For 1-1/2-inch meter \$51.78

For 2-inch meter \$82.85 For 3-inch meter \$155.34

For 4-inch meter \$258.90 For 6-inch meter \$517.79

For 8-inch meter \$828.47
For 10-inch meter \$1,190.92

For 12-inch meter \$1,708.72

08.72 (1)

Larkfield District

Quantity Rates: <u>Base Rate</u>

Per 100 gal (CGL)

For the first 52.4 CGL \$0.6297

For the next 52.4 CGL \$0.7238

For the next 139.4 CGL \$1.1748

For all water delivered over 243.9 CGL \$1.5380

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C)

ADVICE LETTER NO. 1140-A

IO-A J. T. LINAM

DATE FILED EFFECTIVE <u>1-19-201</u>7

DECISION NO.

NAME
DIRECTOR - Rates & Regulatory

RESOLUTION

<u>1-25-201</u>7

TITLE

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8351-W

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C.P.U.C. SHEET NO.

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6599-W, 6600-W

Revised

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San Diego County 6601-W, 6602-W Ventura County 6603-W, 6604-W

RATE SCHEDULES:

All Districts -- Low Income Ratepayer Assistance Program

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Monterey County District

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

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MO-10 General Metered Service 8266-W, 8267-W, 7686-W, 7687-W,

Other Customers 8117-W, 7689-W, 8229-W, 8230-W, 7692-W, 8025-W, 7639-W, 8259-W

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.) ADVICE LETTER NO. 1140-A J. T. LINAM DATE FILED 1-19-2017 NAME 1-25-2017 **EFFECTIVE**

<u>DIRECTOR – Rates & Regulatory</u> RESOLUTION DECISION NO.

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8342-W

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MO-ARC-1 General Metered Service 8271-W, 8119-W, 8120-W, 8296-W,

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8358-W

Revised

(Toro)

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(Garrapata)

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(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 1140-A

J. T. LINAM

DATE FILED

1-19-2017

NAME

DECISION NO.

DIRECTOR - Rates & Regulatory

TITTLE

TO BE INSERTED BY C.P.U.C.)

DATE FILED

1-25-2017

1-25-2017

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING C.P.U.C. SHEET NO. Revised 8352-W

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(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
ADVICE LETTER NO.	1140-A	J. T. LINAM	DATE FILED	1-19-2017
	_	NAME	EFFECTIVE	1-25-2017
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION	
		TITLE		

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1140-A

BY MAIL:

James M. Bouler 133 Eton Court

Santa Rosa, CA 95403

Shanna Foley

Calif Public Utilities Commission

Legal Division

505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214

John K. Hawks

California Water Association

601 Van Ness Ave., Ste. 2047, Mc E3-608

San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq

Attorney At Law

Manatt Phelps & Phillips Llp

One Embarcadero Center, 30Th Floor

San Francisco, CA 94111

Lenard G Weiss Attorney At Law

Manatt, Phelps & Phillips, Llp

One Embarcadero Center, 30Th Floor

San Francisco, CA 94111

Sarah E. Leeper

Vp - Legal, Regulatory

California-American Water Company

333 Hayes Street, Suite 202 San Francisco, CA 94102

Ken Dursa

For Wastewater Equity

Central Coast Coalition Of Communities

145 Harvest Street Salinas, CA 93901 Bob Mckenzie

Water Issues Consultant

Coalition Of Peninsula Businesses

P.O. Box 223542 Carmel, CA 93922 Richard Rauschmeier

Calif Public Utilities Commission

Water Branch

505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214

Niki Bawa

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5038 San Francisco, CA 94102-3214

Carl Wood

Afl-Cio, Natl Regulatory Affairs Dir.

Utility Workers Union Of America

2021 S. Nevada St Oceanside, CA 92054

Allison Brown

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5206 San Francisco, CA 94102-3214

Case Coordinator

California American Water Company

333 Hayes St., Ste. 202 San Francisco, CA 94102

Celia Hirschman Co-Founder

California Water Rights Association

1281 Montgomery St. / P.O. Box 1225

Oroville, CA 95965 James R. Riley

Co-Chair Ccccwe

P.O. Box 7223

Spreckles, CA 93962 Thomas Frutchey

City Manager

City Of Pacific Grove 300 Forest Avenue

Pacific Grove, CA 93950

Frances M. Farina
De Lay & Laredo
389 Princeton Avenue

Santa Barbara, CA 93111-1637

Tony Tully

Calif Public Utilities Commission

Water Branch

505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214

Jennifer Kalafut

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5303 San Francisco, CA 94102-3214

John Reynolds

Calif Public Utilities Commission

Legal Division

505 Van Ness Avenue Room 4107 San Francisco, CA 94102-3214

W. Anthony Colbert

Calif Public Utilities Commission

Division Of Administrative Law Judges 505 Van Ness Avenue Room 5115

San Francisco, CA 94102-3214

Cynthia Russell

California American Water Company

333 Hayes Street, Ste. 202 San Francisco, CA 94102

Stephanie Tousley

Co-Founder

California Water Rights Association

1189 Montgomery St. Oroville, CA 95965 Arthur Mcloughlin For Wastewater Equity

Central Coast Coalition Of Communities

9540 S. Century Oak Road

Salinas, CA 93907

Michael Brown

Cleantech Law Partners, Pc 2932 West Oriole Ave. Visalia, CA 93291

David C. Laredo

Attorney

De Lay & Laredo 606 Forest Avenue

Pacific Grove, CA 93950-4221

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY **ADVICE LETTER 1140-A**

Sara Senger

Las Palmas Wastewater Committee

25374 Jasmine Ct. Salinas, CA 93908

David J. Stoldt Gen. Mgr.

Monterey Peninsula Water Mngmnt. Dist.

P.O. Box 85

Lloyd Lowrey

Noland Hamerly Etienne & Hoss

P.O. Box 2510 Salinas, CA 93902 Yolanda Lewis

Nat'L. Assn. Of Minority Co., Inc.-Namc

1606 Caino Ruiz No.8-188 San Diego, CA 92126

Mark Brooks

Utilitiy Workers Union Of America

521 Central Ave. Nashville, TN 37211

Mari Lane Nossaman Llp

50 California Street, Ste. 3400 San Francisco, CA 94111

James Birkelund

Prsident

Small Busness Utility Advocates 548 Market St., Ste. 11200 San Francisco. CA 94104

Aldrica Lattimore

President And Founder

Nat'L. Assn. Of Minority Companies, Inc.

Central Coast Coalition of Communities

for Wastewater Equity (CCCCWwE)

California-American Water Company

California Water Association (CWA)

sarah.leeper@amwater.com

10606 Camino Ruiz No.8-188

Martin Mattes Nossaman Llp

Arthur McLoughlin

Sarah E. Leeper

mickey3643@aol.com

Martin Mattes

50 California Street, Ste. 3400 San Francisco, CA 94111-4799

BY E-MAIL:

Sara Senger

Las Palmas Wastewater Committee

SaraSenger@comcast.net

Shanna Foley

ORA

sf2@cpuc.ca.gov

James M. Bouler

The Mark West Area Community Services

Committee

ibouler@comcast.net

Mark Brooks

Utility Workers Union of America

markbrooks@uwua.net

Frances M. Farina City of Pacific Grove Fran@LaredoLaw.net

Lloyd Lowrey

Noland Hamerly Etienne & Hoss

llowrey@nheh.com

Aldrica Lattimore

National Association of Minority

Companies, Inc. (NAMC) president@namcsd.org

David C. Laredo

City of Pacific Grove/Monterey Peninsula Water Management District (MPWMD)

dave@laredolaw.net

James Birkelund

Small Business Utility Advocates (SBUA)

james@UtilityAdvocates.org

Joseph O'Conner

Butterfield-Riviera East Community

Tilden Kim

Association

joeoconnor@earthlink.net

Richards Watson & Gershon

mmattes@nossaman.com

tkim@rwglaw.com

Carl Wood

Utility Workers Union of America

carlwood@uwua.net

Yolanda Lewis

National Association of Minority Co., Inc,-

president@namcsd.org

Ken Dursa Michael Brown

Central Coast Coalition of Communitites Cleantech Law Partners, PC

MBrown@CleantechLawPartners.com

kdursa@salinas.net

Bob Mckenzie

Coalition of Peninsula Businesses (CPB)

JRBobMcK@gmail.com

Monterey Peninsula Water Management

for Wastewater Equity (CCCWE)

District (MPWMD)

David J. Stoldt

DStoldt@mpwmd.net

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1140-A

Thomas Frutchey
City of Pacific Grove
tfrutchey@ci.pg.ca.us

John K. Hawks

California Water Association jhawks@calwaterassn.com

Mari Lane Nossaman LLP

mlane@nossaman.com

Celia Hirschman

California Water Rights Association

(CWRA)

CeliaHirschman@gmail.com

Allison Brown

California Public Utilities Commission

(CPUC)

aly@cpuc.ca.gov

Michael Zelazo

California Public Utilities Commission

(CPUC)

mz3@cpuc.ca.gov

Tony Tully

California Public Utilities Commission

(CPUC)

dt1@cpuc.ca.gov

James R. Riley

Central Coast Coalition of Communities

for Wastewater Equity (CCCCWE)

rossriley@aol.com

Lenard G. Weiss

Manatt, Phelps & Phillips, LLP

lweiss@manatt.com

David P. Stephenson

California American Water

dave.stephenson@amwater.com

Stephanie Tousley

California Water Rights Association

(CWRA)

Stephanie.tousley@gmail.com

Jennifer Kalafut

California Public Utilities Commission

(CPUC)

jmk@cpuc.ca.gov

Niki Bawa

California Public Utilities Commission

(CPUC)

nb2@cpuc.ca.gov

W. Anthony Colbert

California Public Utilities Commission

(CPUC)

wac@cpuc.ca.gov

Case Coordinator

California American Water

casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ

Manatt, Phelps & Phillips, LLP Idolqueist@manatt.com

Sherrene Chew

California American Water

ca.rates@amwater.com

Terence Shia, P.E. CPUC – DRA

Terence.Shia@cpuc.ca.gov

John Reynolds

California Public Utilities Commission

(CPUC)

jr5@cpuc.ca.gov

Richard Rauschmeier

California Public Utilities Commission

(CPUC)

rra@cpuc.ca.gov

SACRAMENTO DISTRICT SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1140-A

EMAIL

California Public Utilities
Commission
Division of Ratepayer
Advocates
dra_water_al@cpuc.ca.gov

Citrus Heights Water District 6230 Sylvan Road Citrus Heights CA 95610 rchurch@chwd.org

City of Sacramento, Water Division 1391 35th Avenue Sacramento CA 95822 dsherry@cityofsacramento.co m

Florin County Water District P.O. Box 292055 Sacramento CA 95829 fcwd@sbcglobal.net

Amy Van
City Clerk
City of Citrus Heights
6237 Fountain Square Drive
Citrus Heights CA 95621
avan@citrusheights.net

Jim McCauley Clerk-Recorder Placer County 2954 Richardson Drive Auburn CA 95603 skasza@placer.ca.gov

Linda Garcia
City Clerk
City of Isleton
P.O. Box 716
Isleton CA 95641
Igarcia@cityofisleton.com

Lisa Blair

California Public Utilities

Commission

Office of Ratepayer Advocates

505 Van Ness Avenue

Room 3200

San Francisco CA 94102

lwa@cpuc.ca.gov

Marvin Philo 3021 Nikol Street Sacramento CA 95826

mhphilo@aol.com

Richard Rauschmeier California Public Utilities

Commission

ORA - Water Branch 505 Van Ness Avenue

Room 4209

San Francisco CA 94102-3214

rra@cpuc.ca.gov

Sarah E. Leeper

VP - Legal, Regulatory CA American Water Co.

555 Montgomery St.

Suite 816

San Francisco CA 94102

sarah.leeper@amwater.com

MAIL

CA Dept of Health Services
Div of Drinking Water &
Environmental Mgt
P.O. Box 997416
#Sacramento CA 95899-7413

Fruitridge Vista Water Co. 1108 2nd St Sacramento CA 95814 Placer County Water Agency

P.O. Box 6570 Auburn CA 95804

Rio Linda Water District

730 L Street

Rio Linda CA 95673

Sacramento County WMD 827 7th Street, Room 301 Sacramento CA 95814

Sacramento Suburban Water

District

3701 Marconi Avenue

Suite 100

Sacramento CA 95821-5303

Anthony La Bouff County Counsel

Placer County

175 Fulweiler Avenue

Auburn CA 95603

Carol Smith

6241 Cavan Drive, 3

Citrus Heights CA 95621

Mark Norris

County Clerk-Recorder

County of Sacramento

600 8th Street

Sacramento CA 95814

Robert Baptiste 9397 Tucumcari Way

Sacramento CA 95827-1045

SACRAMENTO DISTRICT SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1140-A

Robert Ryan, Jr.
County of Sacramento
Downtown Office
700 H Street, Suite 2650
Sacramento CA 95814

Steven Thompson 5224 Altana Way Sacramento CA 95841

Walt Shannon 8356 Auberry Drive Sacramento CA 95828

2