

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
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March 29, 2023

Jonathan Morse
Sr. Manager Rates & Regulatory
California-American Water Company
520 Capitol Mall Ste. 630
Sacramento, CA 95814

Dear Mr. Morse,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 1386, filed on September 1, 2022, regarding 2021 WRAM/MCBA – Southern for the Southern Division.

Enclosed are copies of the following revised tariff sheets, effective September 1, 2022, for the utility's files:

P.U.C.	
Sheet No.	Title of Sheet
10727-W	Preliminary Statement, Summary Table, Sheet 1
10728-W	Preliminary Statement, AB. Water Revenue Adjustment Mechanism/Modification Cost Balancing Account ("WRAM/MCBA"), Sheet 1
10729-W	Schedule No. SOU-1, Southern Division Tariff Area General Metered Services, Sheet 5
10730-W	Schedule No. SOU-1, Southern Division Tariff Area General Metered Services, Sheet 6
10731-W	Table of Contents, Sheet 3
10732-W	Table of Contents, Sheet 1
Cancel	10576-W

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you.

Enclosures



September 1, 2022

ADVICE LETTER NO. 1386

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Southern Division which are attached hereto:

PURPOSE

The purpose of this advice letter filing is to request recovery of the 2021 Water Revenue Adjustment Mechanism (“WRAM”) and Modified Cost Balancing Account (“MCBA”) balances. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in D.08-11-023, D.12-04-048, D.13-07-041, D.18-12-021 and D.21-11-018. Additionally, through this filing California American Water requests an update to the WRAM/MCBA preliminary statement language to include Rio Plaza as part of the WRAM/MCBA as authorized in D.21-11-018.

BACKGROUND

D.08-11-023 originally approved the WRAM and MCBA for the Southern Division. Certain requirements from the original process approved in D.08-11-023 were modified in D.13-07-041, issued in California American Water’s general rate case Application 10-07-007. Notably, the settlement adopted by the decision D.13-07-041 agreed to certain procedures outlined D.12-040-048, which California American Water was not a party to.

The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. California America Water will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*

6. *California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

In D.18-12-021, which resolved California American Water’s general rate case Application 16-07-002, the Commission increased the cap on amortization of the WRAM/MCBA balances from 10% to 15%. and D.21-11-018, which resolved California American Water’s general rate case Application 19-07-004, maintained the cap through the next GRC cycle:

We find the Settlement, as it relates to Cal-Am’s WRAM/MCBA balances and the authorized cap established in D.18-12-021, is reasonable in light of the whole record of this proceeding, consistent with the law, and in the public interest, pursuant to the Commission’s approval of the temporary 15% cap in Cal-Am’s prior GRC proceeding. Cal-Am shall maintain the 15% cap related to its WRAM/MCBA balances as authorized in D.18-12-021 through this GRC cycle.¹

REQUEST

The balances for the WRAM and MCBA are summarized in the table below.

Table 1: Recovery of Projected WRAM/MCBA Balances				
Description	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Projected Balance
AUTHORIZED PRIOR BALANCES REMAINING AT 12/31/2021	(\$19,374,842)	(\$10,188)	\$10,636,507	(\$8,748,523)
BALANCE NOT YET APPROVED FOR YEAR 2021	\$4,199,884	\$1,265	\$0	\$4,201,148
BALANCE ALL YEARS				(\$4,547,375)
2021 Authorized Revenue				\$120,999,517
2021 % of Revenue				3.5%
Net Balance % of Revenue				3.8%

Based on the above balance and 15% cap authorized in D.21-11-018, California American Water requests a volumetric surcharge of \$0.0340 per 100 gallons over a 12-month period be added to the Company’s tariffs.

¹ D.21-11-018 at 147.

Through this filing California American Water also requests an update to the WRAM/MCBA balancing account preliminary statement language to include Rio Plaza as part of the WRAM/MCBA as authorized in D.21-11-018. The preliminary statement language should have been updated in California American Water's 2019 GRC implementation advice letter 1353, however this change was accidentally omitted. California American Water requests this update in through this filing. These changes do not impact authorized rates and all customers were billed correctly with respect to the modifications addressed above.

EFFECTIVE DATE

California American Water submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of September 1, 2022.

SERVICE LIST

Service Lists – In accordance with General Rules 4.3 and 7.2, and Water Industry Rule 4.1, of General Order (GO) 96-B, Cal-Am served copies of this Advice Letter to adjacent utilities and other parties requesting such notification. Please note that, consistent with the Commission's guidelines for service during the COVID-19 pandemic, this advice letter is only being distributed electronically.

RESPONSE OR PROTEST²

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds³ are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

² G.O. 96-B, General Rule 7.4.1

³ G.O. 96-B, General Rule 7.4.2

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:
Water.Division@cpuc.ca.gov

Mailing Address:
CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:
nancy.hollingsworth@amwater.com

Mailing Address:
520 Capital Mall, Ste. 630
Sacramento, CA 95814

sarah.leeper@amwater.com

555 Montgomery Street, Ste. 916
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁴

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Jeffrey T. Linam

Jeffrey T. Linam
Senior Director of Rates & Regulatory

⁴ G.O. 96-B, General Rule 7.4.3

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
10727-W	PRELIMINARY STATEMENT Summary Table Sheet 1	10622-W
10728-W	PRELIMINARY STATEMENT (Continued) Sheet 1	10193-W
10729-W	Schedule No. SOU-1 Southern Division Tariff Area GENERAL METERED SERVICES Sheet 5	10574-W
10730-W	Schedule No. SOU-1 Southern Division Tariff Area GENERAL METERED SERVICES Sheet 6	10575-W
10731-W	TABLE OF CONTENTS Sheet 3	10725-W
10732-W	TABLE OF CONTENTS Sheet 1	10726-W
DELETE	Schedule No. SOU-1 Southern Division Tariff Area GENERAL METERED SERVICES Sheet 7	10576-W

PRELIMINARY STATEMENT
(Continued)

Sheet 1

AB. Water Revenue Adjustment Mechanism/Modification Cost Balancing Account (“WRAM/MCBA”)

1. PURPOSE:

The purpose of the WRAM Balancing Account is to track the differences between recorded and Commission authorized water revenues. The MCBA tracks the differences between recorded and Commission authorized amounts for purchased water, power, and pump taxes. The Commission has determined that these mechanisms are appropriate in coordination with increasing block rate structures and increased conservation activities.

2. APPLICABILITY:

Applicable customers in the following areas –Southern Division (Los Angeles Service Area, San Diego Service Area, Ventura Service Area), Northern Division, and Central Division Service Areas. The WRAM/MCBA excludes Chualar in the Central Satellite Service Area within the Central Division, and Fruitridge Vista within the Northern Division.

(C)

3. DEFINITIONS:

- a. Non-WRAM revenue is all revenue excluded from the WRAM account, including metered service charges, sale for resale customers, private fire service, private hydrant service, irrigation service, flat rate residential service, and other unmetered miscellaneous revenue.
- b. In addition, surcharges and surcredits, unless specifically included in adopted revenue requirement, are excluded from WRAM accounting.
- c. WRAM-eligible revenue is all revenue not excluded in 3.a, above. Generally, WRAM eligible revenue results from potable quantity charges to permanent residential, commercial, industrial and public authority customers.
- d. Recorded WRAM-eligible revenue is the amount of revenue billed to applicable customers in a particular period.
- e. Adopted WRAM-eligible revenue is the amount of usage- related revenue necessary in conjunction with authorized non- WRAM revenue to generate the adopted revenue requirement.

4. ACCOUNTING PROCEDURE:

- a. The following entries will be recorded to each area’s WRAM Balancing Account monthly and added to the prior accumulated monthly balance:
 - i. Recorded WRAM-eligible revenue
 - ii. Adopted WRAM-eligible revenue
 - iii. Total net WRAM balance = (i) minus (ii)

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1386 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>09/01/2022</u> Effective <u>09/01/2022</u> Resolution
-------------------------------------------------------------	-----------------------------------------------------------	-----------------------------------------------------------------------------------------------------------

Schedule No. SOU-1
Southern Division Tariff Area
GENERAL METERED SERVICES

Sheet 5

SOUTHERN DIVISION TARIFF AREA (Continued)

SPECIAL CONDITIONS (Continued):

Fees and Surcharges (Continued):

- 5. Per Advice Letter 1380 and D.21-11-018 a surcharge of \$0.0999 per cgl will be collected from customers for the 2019 General Rate Case interim rate true-up. The surcharge will be collected until December 31, 2023.
- 6. Per Advice Letter 1386 and D.21-11-018 a surcharge/surcredit is included to recover the net under/over-collection in the WRAM and MCBA of \$4,547,375 including interest, as of December 31, 2021. The surcharge of \$0.0340 per 100 gallons is set to recover the undercollection at a rate equal to 15% of the authorized revenue requirement as approved by D.21-11-018.

(N)
|
(N)

Los Angeles Service Area

- 1. Per D.18-12-021 a meter-based bill credit for the 2020 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customer over 12-months beginning November 1, 2021, as shown in the table below.

(D)
(D)
(L)

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.21
3/4	\$0.32
1	\$0.53
1 1/2	\$1.06
2	\$1.69
3	\$3.17
4	\$5.28
6	\$10.56
8	\$16.90
10	\$24.29

(L)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1386

J. T. LINAM

Date Filed 09/01/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 09/01/2022

Resolution _____

Schedule No. SOU-1
Southern Division Tariff Area
GENERAL METERED SERVICES

Sheet 6

SOUTHERN DIVISION TARIFF AREA (Continued)

SPECIAL CONDITIONS (Continued):

Fees and Surcharges:

Ventura Service Area

1. Per D.18-12-021 a meter-based bill credit for the 2020 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over 12-months beginning November 1, 2021, as shown in the table below.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.13
3/4	\$0.19
1	\$0.32
1 1/2	\$0.64
2	\$1.03
3	\$1.93
4	\$3.21
6	\$6.42
8	\$10.28
10	\$14.77

San Diego Service Area

1. Per D.18-12-021 a meter-based bill credit for the 2020 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over 12-months beginning November 1, 2021, as shown in the table below.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.07
3/4	\$0.10
1	\$0.17
1 1/2	\$0.33
2	\$0.53
3	\$1.00
4	\$1.67
6	\$3.34
8	\$5.34
10	\$7.68

(TO BE INSERTED BY UTILITY)

Advice 1386
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 09/01/2022
Effective 09/01/2022
Resolution _____

(D)
(L)

(L)

(D)
(L)

(L)

TABLE OF CONTENTS

Sheet 3

SUBJECT MATTER OF SHEET
RATE SCHEDULES (Continued):

C.P.U.C. SHEET NO.

Northern Division

(Fruitridge Vista, Hillview, Larkfield, Meadowbrook, & Sacramento Service Areas)

ND-1	General Metered Service	10670-W, 10671-W, 10672-W, 10637-W, 10638-W, 10722-W, 10723-W, 10724-W, 10561-W, 10441-W, 10442-W, 10708-W
ND-HV-9M	Measured Services For Trucks	10673-W
Schedule No. CA- Multi-Use	Multi-Use Residential Customers	10640-W

Central Division

Monterey Service Area

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

MO-1-SF	General Metered Service	10678-W, 10555-W, 10266-W, Single Family Residential Customers	10712-W, 10713-W, 10703-W
MO-1-MF	General Metered Service	10679-W, 10553-W, 10272-W, Multi-Residential Customers	10714-W, 10715-W, 10704-W
MO-1C	General Metered Service	10680-W, 10277-W, 10278-W, Non-Residential Customers	10279-W, 10552-W, 10281-W, 10716-W, 10568-W, 10705-W
MO-1O	General Metered Service	10651-W, 10652-W, 10554-W, Other Customers	10288-W, 10717-W, 10569-W, 10706-W
Schedule No. CA-Multi-Use	Multi-Use Residential Customers	10653-W	

Central Satellite Service Area

(Ambler Park, Chualar, Garrapata, Ralph Lane & Toro Service Areas)

CEN-SAT	General Metered Service	10681-W, 10655-W, 10364-W, 10718-W, 10719-W, 10707-W
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Southern Division

(Los Angeles Service Areas- Duarte, San Marino, Rio Plaza, Baldwin Hills, San Diego & Ventura Service Areas)

SOU-1	General Metered Service	10686-W, 10687-W, 10663-W, 10376-W, 10729-W, 10730-W, 10709-W	(C) (D)
Schedule No. CA-Multi-Use	Multi-Use Residential Customers	10664-W	

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1386

J. T. LINAM

Date Filed 09/01/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 09/01/2022

Resolution _____

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