

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



March 30, 2023

Jonathan Morse  
Sr. Manager Rates & Regulatory  
California-American Water Company  
520 Capitol Mall Ste. 630  
Sacramento, CA 95814

Dear Mr. Morse,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 73-S, filed on November 15, 2022, regarding 2023 Step Rate for the Dunnigan Wastewater district.

Enclosed are copies of the following revised tariff sheets, effective January 1, 2023, for the utility's files:

| <b>P.U.C.</b>    |   |
|------------------|---|
| <b>Sheet No.</b> | <b>Title of Sheet</b>   |
| 341-S            | Schedule No. WW-CAP, All Wastewater Services<br>Customer Assistance Program, Sheet 2        |
| 342-S            | Schedule WW-DU-1, Dunnigan District Sewer Tariff Area<br>General Flat Rate Service, Sheet 1 |
| 343-S            | Table Of Contents, Sheet 1  |

Please contact Bradley Leong at [BL4@cpuc.ca.gov](mailto:BL4@cpuc.ca.gov) or 415-703-2307, if you have any questions.

Thank you.

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

|  |   |
|--|---|
| <b>Utility Name:</b> California American Water   | <b>Date Mailed to Service List:</b> November 15, 2022           |
| <b>District:</b> Dunnigan Wastewater   |   |
| <b>CPUC Utility #:</b> U210W   | <b>Protest Deadline (20<sup>th</sup> Day):</b> December 5, 2022 |
| <b>Advice Letter #:</b> 73-S   | <b>Review Deadline (30<sup>th</sup> Day):</b> December 15, 2022 |
| <b>Tier</b> <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance | <b>Requested Effective Date:</b> January 1, 2023                |
| <b>Authorization</b> Decision 21-11-018  |   |
| <b>Description:</b> 2023 Step Rate – Dunnigan Wastewater   | <b>Rate Impact:</b> \$See AL<br>See AL%                         |

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Chase Grady

**Phone:**

**Email:** [chase.grady@amwater.com](mailto:chase.grady@amwater.com)

**Utility Contact:** Jonathan Morse

**Phone:** 916-568-4237

**Email:** [Jonathan.morse@amwater.com](mailto:Jonathan.morse@amwater.com)

**DWA Contact:** Tariff Unit

**Phone:** (415) 703-1133

**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

DATE

STAFF

COMMENTS

\_\_\_\_\_

\_\_\_\_\_

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\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



November 15, 2022

ADVICE LETTER NO. 73-S

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Pursuant to General Order 96-B, California-American Water Company ("California American Water") (U210W) hereby submits for review this advice letter, including tariff sheets applicable to California American Water's Northern Division.

**Background:**

The purpose of this advice letter filing is to request approval of the 2023 Escalation Year rates for the Northern Division in accordance with Decision (D.) 21-11-018. This advice letter requests implemented rates for escalation year 2023 be made effective and implemented in accordance with California Public Utilities Commission (Commission) Decision (D.) 21-11-018.

Additionally, this filing provides the updated Customer Assistance Program (CAP) surcharge contains on Schedule WW-FEEs applicable to all service areas.

**Discussion:**

Submission of these tariffs is made in compliance with Ordering Paragraphs 3, 4, 5, and 6 of D.21-11-018, dated November 18, 2021, which states:

3. *No later than 30 days following the issuance of this Decision, California American Water Company (Cal-Am) shall file its Tier 1 General Rate Case implementation advice letter, including updated tariffs as necessary to reflect all of the agreements related to capital expenditures and projects in the Los Angeles County, San Diego County, Ventura County, Central Division, Monterey County Wastewater, Sacramento County, and Larkfield Districts reached between the Public Advocates Office of the California Public Utilities Commission; the Cities of Duarte, San Marino, and Thousand Oaks; the Las Palmas Wastewater Committee; the Monterey Peninsula Water Management District; and Cal-Am. Given the timing of the issuance of the decision, the 2021 authorized rates and tariff changes shall be implemented concurrently with California American Water's escalation filing for attrition year 2022.*
4. *California-American Water Company (Cal-Am) is authorized to revise tariff schedules and to concurrently cancel its present schedules for such service upon the effective date of its 2022 escalation filing. The revision of tariff schedules for authorized rates in 2021 shall be included and subsumed in Cal-Am's escalation filing for attrition year 2022.*
5. *Decision 07-05-062 requires escalation filings to be filed no later than 45 days prior to the start of the escalation year. In light of the effective date of this decision, California-American Water Company shall submit its 2022 escalation advice letters*

*within 60 days from the effective date of this decision. The 2022 escalation advice letters shall be effective 45 days from the date of filing.*

6. *California-American Water Company shall submit its 2023 escalation advice letters no later than 45 days prior to their effective date on January 1, 2023.*

California American Water filed Advice Letter 1353 in compliance with the first part of ordering paragraph three above and Advice Letters 1356, 1357, 1358, 69-S, and 70-S in compliance with ordering paragraphs 3, 4, and 5. Through this filing, California American Water complies with ordering paragraph 6 above.

**Requests:**

This advice letter filing is to request implementation of the Northern Division, including the Dunnigan Wastewater Service Area, 2023 Escalation Year increase.

As shown the earnings test and workpapers for the twelve months ended September 30, 2022, the Northern Division earned a rate of return of 4.71% on a recorded basis and 7.63% on a “pro forma” basis. The most recent authorized rate of return per D.18-03-035 was 7.61% adjusted to 7.81% to match the designated pro forma period.

In compliance with D.21-11-018, California American Water requests approval of all submitted tariff revisions. Additionally, California American Water requests that all tariffs included in Advice Letter 1353, which are not included in California American Water’s 2022 Escalation Filings, be made effective upon the effective date of this filing.

**Effective Date:**

California American Water requests an effective date of January 1, 2023.

**Tier Designation:**

California American Water submits this as a Tier 1 advice letter pursuant to General Order No. 96-B.

**Notice:**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being provided to those entities listed in the attached “SERVICE LIST PURSUANT TO SECTION 4.3 OF G.O. NO. 96-B.” Per guidance from the California Public Utilities Commission’s Water Division, during the COVID-19 pandemic, advice letters will only be delivered electronically to the service list.

**Protests and Responses:**

Anyone may respond to or protest this advice letter. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A **protest** objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>1</sup> are:

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<sup>1</sup> G.O. 96-B, General Rule 7.4.2

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;  
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3<sup>rd</sup> floor  
California Public Utilities Commission,  
505 Van Ness Avenue, San Francisco, CA 94102  
[water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)

In the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

**Email Address:**

[chase.grady@amwater.com](mailto:chase.grady@amwater.com)

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

[ca.rates@amwater.com](mailto:ca.rates@amwater.com)

**Mailing Address:**

520 Capital Mall, Suite 630  
Sacramento, CA 95814  
Fax: (916) 568-4277

555 Montgomery Street, Suite 816  
San Francisco, CA 94111

520 Capital Mall, Suite 630  
Sacramento, CA 95814  
Fax: (916) 568-4277

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

If you have not received a reply to your protest within 10 business days, please contact Jonathan Morse at (916) 568-4237.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Chase Grady*

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Chase Grady  
Associate Rates & Regulatory Analyst

| <b>Cal P.U.C.<br/>Sheet No.</b> | <b>Title of Sheet</b>   | <b>Cancelling<br/>Cal P.U.C.<br/>Sheet No.</b> |
|---------------------------------|---|--|
| 341-S                           | Schedule No. WW-CAP<br>All Wastewater Services<br>CUSTOMER ASSISTANCE PROGRAM<br>Sheet 2        | 324-S  |
| 342-S                           | Schedule WW-DU-1<br>Dunnigan District Sewer Tariff Area<br>GENERAL FLAT RATE SERVICE<br>Sheet 1 | 323-S  |
| 343-S                           | TABLE OF CONTENTS<br>Sheet 1  | 340-W  |

Schedule No. WW-CAP  
All Wastewater Services  
CUSTOMER ASSISTANCE PROGRAM

Sheet 2

**APPLICABILITY**

Applicable to Dunnigan Wastewater service.

**TERRITORY**

Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County.

**RATES**

|                                       | <u>Per Service Connection</u> |     |
|---------------------------------------|-------------------------------|-----|
|                                       | <u>Per Month</u>              |     |
| For each Mobile Home residential unit | \$31.82                       | (I) |
| For each Recreational Vehicle unit    | \$31.82                       | (I) |

(Continued)

(TO BE INSERTED BY UTILITY)  
Advice 73-S  
Decision

ISSUED BY  
J. T. LINAM  
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)  
Date Filed 11/15/2022  
Effective 01/01/2023  
Resolution \_\_\_\_\_



Schedule WW-DU-1  
Dunnigan District Sewer Tariff Area  
GENERAL FLAT RATE SERVICE

Sheet 1

**APPLICABILITY**

Applicable to all sewer service.

**TERRITORY**

Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County.

**RATES**

Per Service Connection

Per Month

For each Mobile Home residential unit  
For each Recreational Vehicle unit  
For Commercial Business Unit

\$39.78  
\$39.78  
\$39.78

(I)  
|  
(I)

**SPECIAL CONDITIONS**

General Items

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
  
1. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. A late charge will be imposed per Schedule WW-FEES.
3. Bills will be rendered in advance of the period for which service will be provided.
4. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.

(D)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 73-S

J. T. LINAM

Date Filed 11/15/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 01/01/2023

Resolution \_\_\_\_\_

TABLE OF CONTENTS

Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

| <u>SUBJECT MATTER OF SHEET</u>  | <u>C.P.U.C. SHEET NO.</u>                             |     |
|---|---|-----|
| <u>RATE SCHEDULES (Continued):</u>  |   |     |
| Title Page  | 192-S   |     |
| Table of Contents   | 343-S, 329-S  | (C) |
| Preliminary Statement   | 176-S, 4-S, 5-S, 78-S, 177-S, 178-S,<br>179-S, 180-S  |     |
| Service Area Maps   | 6-S, 64-S, 65-S, 8-S, 57-S, 58-S,<br>9-S, 59-S, 194-S |     |
| <u>Rate Schedules:</u>  |   |     |
| Schedule No. SA – Active Sewer Service  | 335-S, 304-S, 336-S, 331-S                            |     |
| Schedule No. SP – Passive Sewer Service   | 337-S, 332-S  |     |
| Schedule No. UF   | 212-S   |     |
| Schedule No. WW-CAP   | 338-S, 341-S, 325-S, 326-S, 327-S,<br>328-S           | (C) |
| Schedule No. WW-DU  | 342-S, 333-S  | (C) |
| Schedule No. WW-Fees  | 339-S   |     |
| <u>FEES, CONTRACTS &amp; DEVIATIONS</u>   |   |     |
| <u>Rules:</u>   |   |     |
| No. 1 Definitions   | 158-S   |     |
| No. 2 Description of Service  | 89-S, 13-S  |     |
| No. 3 Application for Service   | 14-S  |     |
| No. 4 Contracts   | 15-S, 90-S  |     |
| No. 5 Special Information Required on Forms   | 16-S  |     |
| No. 6 Establishment and Reestablishment of Credit                                     | 17-S, 18-S, 19-S                                      |     |
| No. 7 Deposits  | 20-S  |     |
| No. 8 Notices   | 21-S, 22-S  |     |
| No. 9 Rendering and Payment of Bills  | 23-S  |     |
| No. 10 Disputed Bills   | 24-S, 25-S, 26-S, 175-S                               |     |
| No. 11 Discontinuance and Restoration of Service                                      | 27-S, 28-S  |     |
| No. 12 Information Available to Public  | 29-S, 30-S, 31-S                                      |     |
| No. 13 Temporary Service  | 32-S, 33-S  |     |
| No. 14 Continuity of Service  | 34-S  |     |
| No. 15 Main Extensions  | 35-S  |     |
| No. 16 Service Connections, Meters, and Customer’s<br>Facilities                      | 36-S  |     |
| No. 17 Measurement of Service   | 37-S, 38-S, 39-S, 40-S                                |     |
| No. 18 Meter Tests and Adjustment of Bills for Meter<br>Error                         | 41-S  |     |
| No. 19 Service to Separate Premises and Multiple<br>Units and Resale of Sewer Service | 42-S, 43-S, 44-S, 45-S                                |     |
| No. 20 Limitation on Wastes Discharged into the<br>Utility’s Sewer System             | 46-S  |     |
| No. 21 Commercial, Institutional and Industrial Wastes                                | 47-S  |     |
| No. 22 The Military Family Financial Relief Act                                       | 48-S  |     |
|   | 74-S, 75-S  |     |

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 73-S

J. T. LINAM

Date Filed 11/15/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 01/01/2023

Resolution \_\_\_\_\_

**MONTEREY WASTEWATER DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 73-S**

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**BY MAIL:**

Joe Lucido  
25417 Boots Road  
Monterey, CA 93940

Los Angeles Docket Office  
California Public Utilities Commission  
320 West 4th Street, Suite 500  
Los Angeles, CA 90013

Alco Water Service  
249 Williams Road  
Salinas, CA 93901

Monterey Regional Water Pollution  
Control Agency (MRWPCA)  
5 Harris Court Road. Bldg D.  
Monterey, CA 93940

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942

Yazdan Emrani, P.E.  
Deputy Pub Works Director – Operations  
Monterey County DPW  
168 W. Alisal Street, 2nd floor  
Salinas, CA 93901-2680

City of Pacific Grove  
c/o Community Development Department  
Attention: Sarah Hardgrave  
300 Forest Ave., 2nd floor  
Pacific Grove, CA 93950

City of Pacific Grove  
City Attorney/City Hall  
300 Forest Ave 2nd floor  
Pacific Grove, CA 93950

Ann Camel  
City Clerk  
City of Salinas  
200 Lincoln Avenue  
Salinas, CA 93901

City of Sand City  
City Hall  
California & Sylvan Avenues  
Sand City, CA 93955  
Attn: City Clerk

Deborah Mall, City Attorney  
City of Monterey  
512 Pierce Street  
Monterey, CA 93940

Karen Crouch  
City Clerk,  
Carmel-By-The-Sea  
PO Box CC  
Carmel-by-the-Sea, CA 93921

Darryl D. Kenyon  
Monterey Commercial Property Owners  
Association  
P.O. Box 1953  
Monterey, CA 93942

Irvin L. Grant  
Deputy County Counsel  
County of Monterey  
168 W. Alisal Street, 3rd floor  
Salinas, CA 93901-2680

Marc J. Del Piero  
4062 El Bosque Drive  
Pebble Beach, CA 93953-3011

Vibeke Norgaard  
City Attorney of Sand City  
P.O. Box 183  
Carmel, CA 93921

**MONTEREY WASTEWATER DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 73-S**

**By E-MAIL:**

Richard Rauschmeier  
California Public Utilities Commission  
DRA - Water Branch, Rm 4209  
505 Van Ness Ave  
San Francisco, CA 94102  
rra@cpuc.ca.gov

City of Del Rey Oaks  
City Hall  
650 Canyon Del Rey Road  
Del Rey Oaks, CA 93940  
Attn: City Clerk  
citymanager@delreyoaks.org  
kminami@delreyoaks.org

City of Seaside, City Hall  
Attn: City Clerk  
Seaside, CA  
[dhodgson@ci.seaside.ca.us](mailto:dhodgson@ci.seaside.ca.us)  
to'halloran@ci.seaside.ca.us

David C. Laredo and Fran Farina  
DeLay & Laredo  
606 Forest Ave  
Pacific Grove, CA 93950  
[dave@laredolaw.net](mailto:dave@laredolaw.net)  
[fran@laredolaw.net](mailto:fran@laredolaw.net)

Jon Giffen  
City Attorney  
City of Carmel-By-The-Sea  
P.O. Box 805  
Carmel-By-The-Sea, CA 93921  
[jgiffen@kaglaw.net](mailto:jgiffen@kaglaw.net)

Brent Reitz  
Capital Services  
P.O. Box 1767  
Pebble Beach CA 93953  
reitzb@pebblebeach.com

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942  
suresh@mpwmd.net

Ms. Lisa Bilir  
California Public Utilities Commission  
Division of Ratepayer Advocates  
505 Van Ness Avenue  
San Francisco, CA 94102

Bernardo R. Garcia  
PO Box 37  
San Clemente, CA 92674-0037  
uwua@redhabanero.com

George Riley  
Citizens for Public Water  
1198 Castro Road  
Monterey, CA 91940  
georgetriley@gmail.com

Mike Niccum  
General Manager  
Pebble Beach Community Services District  
3101 Forest Lake Road  
Pebble Beach, CA 93953  
mniccum@pbcsd.org

Carmel Area Wastewater District  
3945 Rio Road  
Carmel, CA 93923  
buikema@cawd.org

Lloyd Lowery Jr.  
Noland, Hammerly, Etienne & Hoss P.C.  
333 Salinas St  
PO Box 2510  
Salinas, CA 93902-2510  
lloyre@nheh.com

David Heuck  
Accounting  
2700 17 Mile Drive  
Pebble Beach, CA 93953  
heuckd@pebblebeach.com

Arlene Tavani  
Monterey Peninsula Water Mgmt Dist.  
Executive Assistant  
arlene@mpwmd.net

Division of Ratepayer Advocates  
California Public Utilities Commission  
dra\_water\_al@cpuc.ca.gov

Laura L. Krannawitter  
California Public Utilities Commission  
Executive Division, Rm 5303  
505 Van Ness Avenue  
San Francisco, CA 94102  
llk@cpuc.ca.gov

Jim Heisinger  
P.O. Box 5427  
Carmel, CA 93921  
hbm@carmellaw.com

City of Monterey  
City Hall  
Monterey, CA 93940  
Attn: City Clerk  
connolly@ci.monterey.ca.us

Gail T. Borkowski, Clerk of the Board  
County of Monterey  
P.O. Box 1728  
Salinas, CA 93902  
boydap@co.monterey.ca.us

City of Salinas  
Vanessa W. Vallarta – City Attorney  
200 Lincoln Avenue  
Salinas, CA 93901  
vanessav@ci.salinas.ca.us  
chrisc@ci.salinas.ca.us

John K. Hawks  
Executive Director  
California Water Association  
601 Van Ness Avenue, Suite 2047  
San Francisco, CA 94102-3200  
jhawks\_cwa@comcast.net

Sheri Damon  
City of Seaside, City Attorney  
440 Harcourt Avenue  
Seaside, CA 93955  
[cityatty@ix.netcom.com](mailto:cityatty@ix.netcom.com)